

UNITED TECHNOLOGIES CORP /DE/
Form 4
November 01, 2006

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DAVID GEORGE AL

(Last) (First) (Middle)

UNITED TECHNOLOGIES CORPORATION, ONE FINANCIAL PLAZA

(Street)

HARTFORD, CT 06101

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
UNITED TECHNOLOGIES CORP /DE/ [UTX]

3. Date of Earliest Transaction (Month/Day/Year)
01/13/2006

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	01/13/2006		G		150	D	\$ 0
Common Stock	03/13/2006		G		250	D	\$ 0
Common Stock	10/31/2006		S		5,000	D	\$ 65.9552
Common Stock						I	10,087.761

By Savings Plan

Trustee

Common Stock	03/16/2006	G	425	D	\$ 0	1,661,061	D
Common Stock	03/17/2006	G	600	D	\$ 0	1,660,461	D
Common Stock	04/27/2006	G	1,600	D	\$ 0	1,658,861	D
Common Stock	05/02/2006	G	1,000	D	\$ 0	1,657,861	D
Common Stock	05/10/2006	G	80	D	\$ 0	1,657,781	D
Common Stock	10/02/2006	G	1,700	D	\$ 0	1,656,081	D
Common Stock	10/10/2006	G	5,000	D	\$ 0	1,651,081	D
Common Stock	10/13/2006	G	300	D	\$ 0	1,650,781	D
Common Stock	10/30/2006	M	300,000	A	\$ 18.9687	1,950,781	D
Common Stock	10/30/2006	F	86,854	D	\$ 65.52	1,863,927	D
Common Stock	10/30/2006	F	89,003	D	\$ 65.52	1,774,924	D
Common Stock	10/30/2006	G	3,080	D	\$ 0	1,771,844	D
Common Stock	10/30/2006	G	770	D	\$ 0	1,771,074	D
Common Stock	10/31/2006	S	5,000	D	\$ 65.73	1,766,074	D
Common Stock	10/31/2006	S	5,000	D	\$ 65.7	1,761,074	D
Common Stock	10/31/2006	S	5,000	D	\$ 65.7012	1,756,074	D
Common Stock	10/31/2006	S	10,000	D	\$ 65.9	1,746,074	D
Common Stock	10/31/2006	S	5,000	D	\$ 65.852	1,741,074	D
Common Stock	10/31/2006	S	5,000	D	\$ 65.7019	1,736,074	D
Common Stock	10/31/2006	S	10,000	D	\$ 66.1	1,726,074	D

Common Stock	10/31/2006	S	20,000	D	\$ 66	1,706,074	D
Common Stock	10/31/2006	S	10,000	D	\$ 66.05	1,696,074	D
Common Stock	10/31/2006	S	5,000	D	\$ 66.0089	1,691,074	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount
Non-Qualified Stock Option (right to buy)	\$ 18.9687	10/30/2006		M	300,000	02/24/2000 02/24/2007	Common Stock	3	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DAVID GEORGE AL UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101	X		Chairman and CEO	

Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact 11/01/2006

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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(1) The reporting person also directly owns 97,064 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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