

UNITED TECHNOLOGIES CORP /DE/  
Form 4  
December 03, 2004

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TRACHSEL WILLIAM H

2. Issuer Name and Ticker or Trading Symbol  
UNITED TECHNOLOGIES CORP /DE/ [UTX]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
09/21/2004

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
SVP and General Counsel

UNITED TECHNOLOGIES CORPORATION, ONE FINANCIAL PLAZA

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

HARTFORD, CT 06101

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |       |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|---|--|-----------------------------------|-------|
|                                 |                                      |  | Code                           | V | Amount  | (A) or (D) |   |  |                                   | Price |
| Common Stock                    | 09/21/2004                           |  | G                              |   | 1,000   | D          | \$ 0  | 30,412   | D                                 |       |
| Common Stock                    | 12/01/2004                           |  | M                              |   | 30,000  | A          | \$ 37.9375  | 60,412   | D                                 |       |
| Common Stock                    | 12/01/2004                           |  | F                              |   | 11,365  | D          | \$ 100.15   | 49,047   | D                                 |       |
| Common Stock                    | 12/01/2004                           |  | F                              |   | 5,861   | D          | \$ 100.15   | 43,186 <sup>(1)</sup>                                    | D                                 |       |
|                                 | 12/02/2004                           |  | S                              |   | 12,774  | D          | \$ 99   | 30,412   | D                                 |       |

Common  
Stock

Common  
Stock

6,128.777 I

By  
Savings  
Plan  
Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Title of Issuer (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------------|
| Non-Qualified Stock Option (right to buy)  | \$ 37.9375   | 12/01/2004                           |  | M                              | 30,000  | 02/24/2000 02/24/2007                                    | Common Stock  |                                     |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

TRACHSEL WILLIAM H  
UNITED TECHNOLOGIES CORPORATION  
ONE FINANCIAL PLAZA  
HARTFORD, CT 06101

SVP and General Counsel

## Signatures

By: /s/ Charles F. Hildebrand as  
Attorney-in-Fact

12/03/2004

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person also directly owns 11,468 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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