

Korman Alan S
 Form 4
 February 26, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Korman Alan S

2. Issuer Name and Ticker or Trading Symbol
 COLUMBUS MCKINNON CORP
 [CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 205 CROSSPOINT PARKWAY
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 02/22/2019

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
 VP, Gen'l Counsel & Secretary

GETZVILLE, NY 14068

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
| | | | | (A) or (D) | Price | | |
| Common Stock | | | | | 15,710.5695 | D | |
| Common Stock | 02/22/2019 | | M | 59 A | \$ 18.63 15,769.5695 | D | |
| Common Stock | 02/22/2019 | | S | 46 D | \$ 37.8 15,723.5695 | D | |
| Common Stock | 02/25/2019 | | M | 3,217 A | \$ 18.63 18,940.5695 | D | |
| Common Stock | 02/25/2019 | | S | 2,594 D | \$ 37.9 16,346.5695 | D | |

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| | | | | | | | | |
|--------------|------------|--|---|-------|---|---------|---------------------------|---|
| Common Stock | 02/25/2019 | | M | 3,230 | A | \$ 19.5 | 19,576.5695 | D |
| Common Stock | 02/25/2019 | | S | 2,528 | D | \$ 37.9 | 17,048.5695 <u>(1)</u> | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|-------------------------------|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title | |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.63 | 02/22/2019 | | M | 59 | 01/24/2014 01/23/2021 | Common Stock | 59 |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.63 | 02/25/2019 | | M | 3,217 | 01/24/2014 01/23/2021 | Common Stock | 3,217 |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5 | 02/25/2019 | | M | 3,230 | 05/23/2012 05/22/2021 | Common Stock | 3,230 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|----------------------------------------------------------------|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Korman Alan S 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068 | | | VP, Gen'l Counsel & Secretary | |

Signatures

Alan S. Korman

02/26/2019

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 11,739.5695 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 499.5600 shares become fully vested and non-forfeitable on 5/18/2019; 2,948.4890 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2019; 2,783.6016 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/22/2019; 2,818.4444 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2019, and the remaining 2,689.4745 shares become fully vested and non-forfeitable 50% per year for two years beginning 11/15/2019, if reporting person remains an employee of issuer.

(2) All exercisable, subject to IRS limitations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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