

MCCORMICK PETER M
Form 4
October 02, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MCCORMICK PETER M

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
205 CROSSPOINT PARKWAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/30/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP-CraneSolutions

GETZVILLE, NY 14068

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock					77,172.4219 (1)	D	
Common Stock	09/30/2018		F		8,787.0568 (2)	D	\$ 40.67 (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 3 and 4)
				Code V (A) (D)		Date Exercisable Expiration Date	Title or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MCCORMICK PETER M 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068			VP-CraneSolutions	

Signatures

Peter M. McCormick 10/02/2018
 __Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Previously reported as 76,724.1066. Due to prior dividend reinvestments, the amount of restricted stock units was under by 448,315.3 shares for a total of 41,839,421.9 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 26,488,056.8 shares become fully vested and non-forfeitable on 9/30/2018; 3,345,557.4 shares become fully vested and non-forfeitable 50% per year for

 - (1) two years beginning 5/23/2019; 3,638,015.3 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/22/2019; 3,017,763.8 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2019; and the remaining 5,350,028.6 shares become fully vested and non-forfeitable 50% per year for two years beginning 11/13/2018, if reporting person remains an employee of issuer.
 - (2) Represents shares withheld to satisfy tax withholding obligation upon vesting of 26,488,056.8 shares of restricted stock on 9/30/2018. Includes 15,351,365.1 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 3,345,557.4 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2019; 3,638,015.3 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/22/2019; 3,017,763.8 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2019; and the remaining 5,350,028.6 shares become fully vested and non-forfeitable 50% per year for two years beginning 11/13/2018, if reporting person remains an employee of issuer.
 - (3) non-forfeitable 33.33% per year for three years beginning 5/22/2019; 3,017,763.8 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2019; and the remaining 5,350,028.6 shares become fully vested and non-forfeitable 50% per year for two years beginning 11/13/2018, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.