#### MCCORMICK PETER M

Form 4 May 24, 2018

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MCCORMICK PETER M Issuer Symbol COLUMBUS MCKINNON CORP (Check all applicable) [CMCO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 205 CROSSPOINT PARKWAY 05/22/2018 VP-CraneSolutions (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting GETZVILLE, NY 14068 Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) Code (D) Beneficially (D) or Beneficial Indirect (I) Ownership (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price 3,014 77,182.2977 Common \$ 05/22/2018 Α D (1) (2) Stock 38.7

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Options	\$ 38.7	05/22/2018		A	8,097 (3)	05/22/2019	05/21/2028	Common Stock	8,0

# Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

MCCORMICK PETER M 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068

**VP-CraneSolutions** 

# **Signatures**

(Right to Buy)

Peter M.

**McCormick** 05/24/2018 \*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2016 Long Term Incentive Plan dated as of July 18, 2016, subject to forfeiture in whole or part, units become fully vested and non-forfeitable 25% per year for four years beginning 5/22/19, if reporting person remains an employee of issuer.
  - Includes 43,010.2977 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 26,065.2337 shares become fully vested and non-forfeitable on 9/30/2018; 4,965.4272 shares become fully vested and non-forfeitable 33.33% per year for
- (2) three years beginning 5/23/2018; 4,833.0957 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2018; and the remaining 3,014 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/19, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2016 Long Term Incentive (3) Plan dated as of July 18, 2016, subject to forfeiture in whole or part, options become exercisable 25% per year for four years beginning 5/22/19, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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