Stewart John H. Form 3 February 12, 2018

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement COLUMBUS MCKINNON CORP [CMCO] Stewart John H. (Month/Day/Year) 01/29/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 205 CROSSPOINT PARKWAY (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person GETZVILLE, ÂNYÂ 14068 (give title below) (specify below) Form filed by More than One **VP-Engineered Products** Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 5,554 (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. 6. Nature of Ownership Beneficial Form of Ownership	
				(Instr. 4)		Price of	Derivative	(Instr. 5)
		D-4-	F:4:	T:41-	A	Derivative	Security:	
			Expiration Date	Title	Amount or Number of	Security	Direct (D)	
							or Indirect	

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				Shares		(I) (Instr. 5)	
Non-Qualified Stock Options (Right to Buy)	05/19/2009	05/19/2018	Common Stock	414 (2)	\$ 28.45	D	Â
Non-Qualified Stock Options (Right to Buy)	05/18/2010	05/18/2019	Common Stock	1,258 (2)	\$ 13.27	D	Â
Non-Qualified Stock Options (Right to Buy)	05/17/2011	05/17/2020	Common Stock	878 (2)	\$ 18.24	D	Â
Non-Qualified Stock Options (Right to Buy)	05/23/2012	05/23/2021	Common Stock	838 (2)	\$ 19.5	D	Â
Non-Qualified Stock Options (Right to Buy)	05/21/2013	05/21/2022	Common Stock	1,257 (2)	\$ 13.43	D	Â
Non-Qualified Stock Options (Right to Buy)	05/20/2014	05/20/2023	Common Stock	1,058 (2)	\$ 18.95	D	Â
Non-Qualified Stock Option (Right to Buy)	05/19/2015	05/19/2024	Common Stock	895 (3)	\$ 27.12	D	Â
Non-Qualified Stock Options (Right to Buy)	05/18/2016	05/18/2025	Common Stock	1,119 (4)	\$ 24.94	D	Â
Non-Qualified Stock Options (Right to Buy)	05/23/2017	05/23/2026	Common Stock	2,070 (5)	\$ 15.16	D	Â
Non-Qualified Stock Options (Right to Buy)	05/22/2018	05/22/2027	Common Stock	1,369 (6)	\$ 24.33	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Stewart John H. 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068	Â	Â	VP-Engineered Products	Â			

### **Signatures**

John H. Stewart 02/12/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 1,196 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 89 shares become fully vested and non-forfeitable on 5/19/2018; 195 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/18/2018;

(1) 497 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2018 and the remaining 415 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2018, if reporting person remains an employee of issuer.

Reporting Owners 2

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- (2) All exercisable, subject to IRS limitations.
- (3) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (4) Exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/23/2017, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/22/2018, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.