#### Edgar Filing: MCCORMICK PETER M - Form 3

#### MCCORMICK PETER M

Form 3

February 08, 2018

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

MD

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement COLUMBUS MCKINNON CORP [CMCO]  **MCCORMICK PETER M** (Month/Day/Year) 01/29/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 205 CROSSPOINT PARKWAY (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person GETZVILLE, ÂNYÂ 14068 (give title below) (specify below) Form filed by More than One **VP-CraneSolutions** Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock  $74,425 \frac{(1)}{2}$ Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)  2. Date Exercisable and Expiration Date (Month/Day/Year)			3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	(

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				Shares	(I) (Instr. 5)	
Non-Qualified Stock Options (Right to Buy)	05/23/2017	05/23/2026	Common Stock	20,642 (2) \$ 15.16	D	Â
Non-Qualified Stock Options (Right to Buy)	05/22/2018	05/22/2027	Common Stock	15,910 (3) \$ 24.33	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting o mor runne, runness	Director	10% Owner	Officer	Other		
MCCORMICK PETER M 205 CROSSPOINT PARKWAY GETZVILLE. NY 14068	Â	Â	VP-CraneSolutions	Â		

# **Signatures**

Peter M.

McCormick

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes 41,104 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 26,000 shares become fully vested and non-forfeitable on 9/30/2018; 4,953 shares become fully vested and non-forfeitable 33.33% per year for three years beginning
- (1) 5/23/2018; 4,821 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2018; and the remaining 5,330 shares become fully vested and non-forfeitable 50% per year for two years beginning 11/13/2018, if reporting person remains an employee of issuer.
- (2) Exercisable 25% per year for four years beginning 5/23/2017, if reporting person remains an employee of issuer.
- (3) Exercisable 25% per year for four years beginning 5/22/2018, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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