

COLUMBUS MCKINNON CORP
Form 4
July 24, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FLEMING RICHARD H

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
07/22/2014

Director 10% Owner
 Officer (give title below) Other (specify below)

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

AMHERST, NY 14228

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| | | | | Code | V | Amount | |
| Common Stock | | | | | | 31,114.1481 | D |
| Common Stock | 07/22/2014 | | M | 650 ⁽¹⁾ A | \$ 0 | 31,764.1481 | D |
| Common Stock | 07/23/2014 | | M | 325 ⁽²⁾ A | \$ 0 | 32,089.1481 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Value of Derivative Security (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|---|
| Restricted Stock Units | (3) | 07/22/2014 | | M | 650 | (1) (1) | Common Stock 1,300 (1) | \$ 0 |
| Restricted Stock Units | (3) | 07/23/2014 | | M | 325 | (2) (2) | Common Stock 650 (2) | \$ 0 |
| Restricted Stock Units | (3) | | | | | (4) (4) | Common Stock 325 (4) | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| FLEMING RICHARD H 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228 | X | | | |

Signatures

Mary C. O'Connor, Power of Attorney for Richard H. Fleming
Date: 07/24/2014

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 22, 2014. The (1) remaining 650 restricted stock units become fully vested and non-forfeitable 50% on July 22, 2015 and 50% on July 22, 2016, if reporting person remains a director of issuer.

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- Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 23, 2013 and 325
- (2) restricted stock units became fully vested and non-forfeitable on July 23, 2014. The remaining 325 restricted stock units become fully vested and non-forfeitable on July 23, 2015, if reporting person remains a director of issuer.
- (3) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.
- Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 25, 2012 and 325
- (4) restricted stock units became fully vested and non-forfeitable on July 25, 2013. The remaining 325 restricted stock units become fully vested and non-forfeitable on July 25, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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