

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

SWISS HELVETIA FUND, INC.

Form NSAR-A

August 24, 2010

PAGE 1
000 A000000 06/30/2010
000 C000000 0000813623
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE SWISS HELVETIA FUND, INC.
001 B000000 811-05128
001 C000000 8887947700
002 A000000 1270 AVENUE OF THE AMERICAS SUITE 400
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10020
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 HOTTINGER CAPITAL CORP.
008 B000001 A
008 C000001 801-30025
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10020
010 A000001 CITI FUND SERVICES OHIO, INC.
010 B000001 85-11408
010 C010001 COLUMBUS
010 C020001 OH
010 C030001 43219
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
014 A000001 HOTTINGER & CIE SA
014 B000001 8-062959
015 A000001 Citibank, Inc.
015 B000001 C
015 C010001 New York
015 C020001 NY
015 C030001 10043
015 E010001 X
PAGE 2
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 Deutsche bank
020 B000001 14-348532
020 C000001 106

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| | | | |
|-----|---------|--------------------------|--|
| 020 | A000002 | KEPLER EQUITIES | |
| 020 | C000002 | 76 | |
| 020 | A000003 | MERRILL LYNCH | |
| 020 | B000003 | 13-3180818 | |
| 020 | C000003 | 71 | |
| 020 | A000004 | HELVEA INC | |
| 020 | C000004 | 68 | |
| 020 | A000005 | NEUE ZURCHER BANK | |
| 020 | C000005 | 64 | |
| 020 | A000006 | HOTTINGER & CIE | |
| 020 | C000006 | 63 | |
| 020 | A000007 | MORGAN STANLEY & CO INC. | |
| 020 | B000007 | 13-2655998 | |
| 020 | C000007 | 46 | |
| 020 | A000008 | MAINFIRST BANK | |
| 020 | C000008 | 40 | |
| 020 | A000009 | VONTOBEL SECURITIES LTD | |
| 020 | C000009 | 36 | |
| 020 | A000010 | ca cheuvreux | |
| 020 | C000010 | 23 | |
| 021 | 000000 | 632 | |
| 022 | A000001 | HOTTINGER & CIE | |
| 022 | C000001 | 14122 | |
| 022 | D000001 | 0 | |
| 022 | A000002 | CITIBANK | |
| 022 | B000002 | 13-3502968 | |
| 022 | C000002 | 6154 | |
| 022 | D000002 | 0 | |
| 023 | C000000 | 20276 | |
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| 026 | F000000 | Y | |
| 026 | G010000 | N | |
| 026 | G020000 | N | |
| 026 | H000000 | Y | |
| 027 | 000000 | N | |
| 028 | A010000 | 0 | |
| 028 | A020000 | 0 | |
| 028 | A030000 | 0 | |
| 028 | A040000 | 0 | |
| | PAGE | 3 | |
| 028 | B010000 | 0 | |
| 028 | B020000 | 0 | |
| 028 | B030000 | 0 | |
| 028 | B040000 | 0 | |
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| 028 | D040000 | 0 | |
| 028 | E010000 | 0 | |
| 028 | E020000 | 0 | |
| 028 | E030000 | 0 | |
| 028 | E040000 | 0 | |

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| | | |
|-----|---------|--------|
| 028 | F010000 | 0 |
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| 028 | F030000 | 0 |
| 028 | F040000 | 0 |
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| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
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| 043 | 000000 | 0 |
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| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.000 |
| 048 | A010000 | 60000 |
| 048 | A020000 | 1.000 |
| | PAGE | 4 |
| 048 | B010000 | 40000 |
| 048 | B020000 | 0.900 |
| 048 | C010000 | 100000 |
| 048 | C020000 | 0.800 |
| 048 | D010000 | 100000 |
| 048 | D020000 | 0.700 |
| 048 | E010000 | 100000 |
| 048 | E020000 | 0.650 |
| 048 | F010000 | 100000 |
| 048 | F020000 | 0.600 |
| 048 | G010000 | 100000 |
| 048 | G020000 | 0.550 |
| 048 | H010000 | 200000 |
| 048 | H020000 | 0.500 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 800000 |
| 048 | K020000 | 0.450 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |

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054 B000000 N
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 N
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 N
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 N
 060 B000000 N
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 PAGE 5
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
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 062 P000000 0.0
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 062 R000000 0.0
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 066 B000000 N
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 066 D000000 N
 066 E000000 N
 066 F000000 N
 066 G000000 N
 067 000000 N
 068 A000000 N
 068 B000000 Y
 069 000000 N
 070 A010000 Y
 070 A020000 N
 070 B010000 Y
 070 B020000 Y
 070 C010000 Y
 070 C020000 N
 070 D010000 Y

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| | | | |
|-------|---------|---|--------|
| 070 | D020000 | N | |
| 070 | E010000 | N | |
| 070 | E020000 | N | |
| 070 | F010000 | N | |
| 070 | F020000 | N | |
| 070 | G010000 | N | |
| 070 | G020000 | N | |
| 070 | H010000 | N | |
| 070 | H020000 | N | |
| 070 | I010000 | N | |
| 070 | I020000 | N | |
| 070 | J010000 | Y | |
| 070 | J020000 | Y | |
| 070 | K010000 | Y | |
| 070 | K020000 | N | |
| | PAGE | 6 | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | Y | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | N | |
| 070 | P010000 | N | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | N | |
| 070 | R020000 | N | |
| 071 | A000000 | | 139094 |
| 071 | B000000 | | 165691 |
| 071 | C000000 | | 393190 |
| 071 | D000000 | | 35 |
| 072 | A000000 | 6 | |
| 072 | B000000 | | 192 |
| 072 | C000000 | | 7733 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 1559 |
| 072 | G000000 | | 145 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 13 |
| 072 | J000000 | | 43 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 55 |
| 072 | M000000 | | 231 |
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| 072 | P000000 | | 0 |
| 072 | Q000000 | | 53 |
| 072 | R000000 | | 23 |
| 072 | S000000 | | 280 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 0 |
| 072 | W000000 | | 250 |
| 072 | X000000 | | 2652 |
| 072 | Y000000 | | 0 |
| 072 | Z000000 | | 5273 |
| 072AA | 000000 | | 22940 |
| 072BB | 000000 | | 0 |

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072CC010000 0
 072CC020000 57392
 072DD010000 0
 072DD020000 0
 072EE000000 0

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073 A010000 0.0000
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 0.0000
 074 A000000 29573
 074 B000000 0
 074 C000000 0
 074 D000000 6209
 074 E000000 3833
 074 F000000 340591
 074 G000000 0
 074 H000000 0
 074 I000000 7265
 074 J000000 55
 074 K000000 0
 074 L000000 3551
 074 M000000 60
 074 N000000 391137
 074 O000000 0
 074 P000000 247
 074 Q000000 0
 074 R010000 0
 074 R020000 0
 074 R030000 0
 074 R040000 487
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 074 T000000 390403
 074 U010000 31125
 074 U020000 0
 074 V010000 12.54
 074 V020000 0.00
 074 W000000 0.0000
 074 X000000 638
 074 Y000000 0
 075 A000000 0
 075 B000000 413881
 076 000000 10.67

077 A000000 Y
 077 B000000 N
 077 C000000 Y
 077 D000000 N
 077 E000000 N
 077 F000000 N
 077 G000000 N
 077 H000000 N
 077 I000000 N
 077 J000000 N
 077 K000000 N
 077 L000000 N
 077 M000000 N
 077 N000000 N

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077 O000000 N
 077 P000000 N
 077 Q010000 N
 077 Q020000 N

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| | | | |
|-----------|---------|-------------------------------|-------|
| 077 | Q030000 | N | |
| 078 | 000000 | N | |
| 080 | C000000 | | 0 |
| 081 | B000000 | | 0 |
| 082 | B000000 | | 0 |
| 083 | B000000 | | 0 |
| 084 | B000000 | | 0 |
| 086 | A010000 | | 0 |
| 086 | A020000 | | 0 |
| 086 | B010000 | | 1287 |
| 086 | B020000 | | 14343 |
| 086 | C010000 | | 0 |
| 086 | C020000 | | 0 |
| 086 | D010000 | | 0 |
| 086 | D020000 | | 0 |
| 086 | E010000 | | 0 |
| 086 | E020000 | | 0 |
| 086 | F010000 | | 0 |
| 086 | F020000 | | 0 |
| 087 | A010000 | THE SWISS HELVETIA FUND, INC. | |
| 087 | A020000 | 870875101 | |
| 087 | A030000 | SWZ | |
| 088 | A000000 | N | |
| 088 | B000000 | N | |
| 088 | C000000 | N | |
| 088 | D000000 | N | |
| SIGNATURE | | SCOTT RHODES | |
| TITLE | | ASSISTANT TREASURER | |