Dallara Que Form 3 October 26, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement HONEYWELL INTERNATIONAL INC [HON] Dallara Que (Month/Day/Year) 10/18/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 115 TABOR ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person MORRIS PLAINS, ÂNJÂ 07950 (give title below) (specify below) Form filed by More than One Pres/CEO -Connected Enterprise Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â D Common Stock 1,150 Common Stock 75.533 I Held in 401(k) plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security:

Edgar Filing: Dallara Que - Form 3

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|--|---------------------|--------------------|-----------------|----------------------------------|---------------|--|---|
| Employee Stock Options (right to buy) | (1) | 02/27/2027 | Common Stock | 13,146 | \$ 123.61 | D | Â |
| Employee Stock Options (right to buy) | (2) | 02/26/2028 | Common Stock | 21,337 | \$ 153.67 | D | Â |
| Restricted Stock Units | (4) | (4) | Common Stock | 5,234 | \$ (3) | D | Â |
| Restricted Stock Units | (5) | (5) | Common Stock | 1,618 | \$ (3) | D | Â |
| Restricted Stock Units | (6) | (6) | Common Stock | 1,821 | \$ (3) | D | Â |
| Restricted Stock Units | (7) | (7) | Common Stock | 3,236 | \$ (3) | D | Â |
| Restricted Stock Units | (8) | (8) | Common Stock | 7,079 | \$ (3) | D | Â |
| Supplemental Savings Plan Interests | (9) | (9) | Common Stock | 324.267 | \$ <u>(9)</u> | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|--------------------------------|-------|--|--|
| · · · · · · · · · · · · · · · · · · · | Director | 10% Owner | Officer | Other | | |
| Dallara Que 115 TABOR ROAD MORRIS PLAINS, NJ 07950 | Â | Â | Pres/CEO -Connected Enterprise | Â | | |

Signatures

Su Ping Lu for Que
Dallara

**Signature of Reporting

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Employee Stock Options were granted under the 2016 Stock Incentive Plan with 3,286 options fully vested and 3,287 options vesting on February 28, 2019, 3,286 options vesting on February 28, 2020 and 3,287 options vesting on February 28, 2021.
- (2) The Employee Stock Options were granted under the 2016 Stock Incentive Plan with 5,334 options vesting on each of February 27, 2019, February 27, 2020 and February 27, 2021 and with 5,335 options vesting on February 27, 2022.
- (3) Instrument converts to common stock on a one-for-one basis.
- (4) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with 3,489 units vesting on January 13, 2019 and 1,745 units vesting on January 13, 2020.

Reporting Owners 2

Edgar Filing: Dallara Que - Form 3

- (5) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with 808 units vesting on January 13, 2020 and 810 units vesting on January 13, 2022.
- (6) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with all units vesting on February 28, 2020.
- (7) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with 1,067 units vesting on February 27, 2020, 1,068 units vesting on February 27, 2022 and 1,101 units vesting on February 27, 2024.
- (8) The Restricted Stock Units were granted under the 2016 Stock Incentive Plan with 2,335 units vesting on December 26, 2019, 2,336 units vesting on December 26, 2021 and 2,408 units vesting on December 26, 2023.
- (9) Instrument converts to common stock on a one-for-one basis and reflects phantom shares of common stock represented by Company contributions to my account under the Executive Supplemental Savings Plan under rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.