Edgar Filing: BIOTRANSPLANT INC - Form 5

BIOTRANSPLANT INC Form 5

February 10, 2003

OMB Number: 3235-0362 FORM 5		OMB APPROVAL	
Washington, D.C. 20549 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,	 FORM 5 	Expires: January 31, 200 Estimated average burden)5
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 [] Check this box if no longer subject of Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). [] Form 3 Holdings Report [X] Form 4 Transactions Reported 1. Name and Address of Reporting Person* Oronsky Arnold L. (Last) (First) (Middle) c/o InterWest Partners; 2710 Sand Hill Road; Second Floor (Street) Menlo Park CA 94025 (City) (State) (Zip) 2. Issuer Name and Ticker or Trading Symbol BioTransplant, Inc. ("BTRN") 3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary) 4. Statement for Month/Year December/2002 5. If Amendment, Date of Original (Month/Year) 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) [X] Director [] 10% Owner			
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 [] Check this box if no longer subject of Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). [] Form 3 Holdings Report [X] Form 4 Transactions Reported 1. Name and Address of Reporting Person* Oronsky Arnold L. (Last) (First) (Middle) c/o InterWest Partners; 2710 Sand Hill Road; Second Floor (Street) Menlo Park CA 94025 (City) (State) (Zip) 2. Issuer Name and Ticker or Trading Symbol BioTransplant, Inc. ("BTRN") 3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary) 4. Statement for Month/Year December/2002 5. If Amendment, Date of Original (Month/Year) 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) [X] Director [] 10% Owner	ANNUAL STATEMENT OF C	HANGES IN BENEFICIAL OWNERSHIP	
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7. Individual or Joint/Group Filing (Check applicable line) [X] Form filed by One Reporting Person [] Form filed by More Than one Reporting Person

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Form 5 (continued)

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	:========			
Title of Security (Instr. 3)	Date	Code	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) Amount or Price	ities Bene- ficial Owned End of Yea (Instr
Common Stock Common Stock Common Stock				619,09 577,68 18,114
		.==========		

^{*} If the Form is filed by more than one Reporting Person, see Instruction $4\left(b\right)\left(v\right)$.

PERSONS WHO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.

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Form 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			Number			
1			of	1		1
1			Deriv-	1	Title and	1
Conver	-		ative	1	Amount of	1
sion			Secur-	1	Underlying	1
of			ities	1	Securities	1

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	Exer-	1	1	Acquired	Date	(Instr	. 3	Price
	cise	1		(A) or	Exercisable and	and 4)		of
	Price	Trans-	1	Disposed	Expiration Date			Deriv-
	of	action	Trans-	of(D)	(Month/Day/Year)		Amount	ative
Title of	Deriv-	Date	action	(Instr.3,		-	or	Secur-
Derivative	ative	(Month/	Code	4 and 5)	Date Expira-	-	Number	lity
Security	Secur-	Day/	(Instr.		Exer- tion		of	(Instr.
(Instr. 3)	lity	Year)	8)	(A) (D)	cisable Date	Title	Shares	5)
Derivative Security	Deriv- ative Secur-	Date (Month/ Day/	action Code (Instr.	(Instr.3, 4 and 5)	Date Expira- Exer- tion	- - 	or Number of	Secur- ity (Inst

NQ Option	(right to buy)	\$6.84				 (4)	5/15/11	Common	15,000
NQ Option	(right to buy)	\$6.70				 (4)	7/9/11	Common	6,000
NQ Option	(right to buy)	\$2.061	7/30/02	A	8 , 500	 (4)	7/30/12	Common	8,500

Explanation of Responses:

- (1) The shares are owned by InterWest Partners V, LP ("IW5"). The General Partner is InterWest Management Partners V, LP ("IMP5"). The reporting person is a General Partner of IMP5 and disclaims beneficial ownwership of such securities except to the extent of his pro rata partnership interest therein.
- (2) The shares are owned by InterWest Partners VI, LP ("IW6"). The General Partner is InterWest Management Partners VI, LLC ("IMP6"). The reporting person is a Managing Director of IMP6 and disclaims beneficial ownwership of such securities except to the extent of his pro rata partnership interest therein.
- (3) The shares are owned by InterWest Investors VI, LP ("II6"). The General Partner of II6 is IMP6. The reporting person is a Managing Director of IMP6 and disclaims beneficial ownwership of such securities except to the extent of his pro rata partnership interest therein.
- (4) Options granted pursuant to the BioTransplant, Inc. 1997 Stock Incentive Plan, as amended. Stock vests annually over 4 years.
- (5) Correction to number of shares owned due to fractional shares recalculated upon escrow release.

**Signature	of	Reporting	Person	Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space provided is insufficient, see Instruction 6 for procedures.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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