

Edgar Filing: PGIM Short Duration High Yield Fund, Inc. - Form NSAR-B

PGIM Short Duration High Yield Fund, Inc.
Form NSAR-B
July 24, 2018

PAGE 1
000 B000000 05/31/2018
000 C000000 0001534880
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 PGIM Short Duration High Yield Fund, Inc.
001 B000000 811-22632
001 C000000 9733671220
002 A000000 655 Broad Street
002 B000000 NEWARK
002 C000000 NJ
002 D010000 07102
003 000000 N
004 000000 Y
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 PGIM INVESTMENTS LLC
008 B000001 A
008 C000001 801-31104
008 D010001 NEWARK
008 D020001 NJ
008 D030001 07102
008 A000002 PGIM, INC.
008 B000002 S
008 C000002 801-22808
008 D010002 NEWARK
008 D020002 NJ
008 D030002 07102
010 A000001 PGIM INVESTMENTS LLC
010 B000001 801-31104
010 C010001 NEWARK
010 C020001 NJ
010 C030001 07102
012 A000001 COMPUTERSHARE TRUST COMPANY, N.A.
012 B000001 84-0000000
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3011
013 A000001 KPMG LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10154
015 A000001 THE BANK OF NEW YORK MELLON

PAGE 2
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10286
015 E010001 X
015 A000002 CITIBANK, N.A.

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015 B000002 S
015 C010002 BUENOS AIRES
015 D010002 ARGENTINA
015 E040002 X
015 A000003 HONGKONG & SHANGHAI BANKING CORP LTD
015 B000003 S
015 C010003 PARRAMATTA
015 D010003 AUSTRALIA
015 E040003 X
015 A000004 CIBC MELLON TRUST COMPANY
015 B000004 S
015 C010004 TORONTO
015 D010004 CANADA
015 E040004 X
015 A000005 EUROCLEAR BANK
015 B000005 S
015 C010005 BRUSSELS
015 D010005 BELGIUM
015 E040005 X
015 A000006 DELETE
015 A000007 THE BANK OF NEW YORK MELLON SA/NV
015 B000007 S
015 C010007 FRANKFURT
015 D010007 GERMANY
015 E040007 X
015 A000008 THE BANK OF NEW YORK MELLON SA/NV
015 B000008 S
015 C010008 BRUSSELS
015 D010008 BELGIUM
015 E040008 X
015 A000009 DBS BANK LTD
015 B000009 S
015 C010009 SINGAPORE
015 D010009 SINGAPORE
015 E040009 X
015 A000010 THE BANK OF NEW YORK MELLON
015 B000010 S
015 C010010 LONDON
015 D010010 UNITED KINGDOM
015 E040010 X
015 A000011 CITIBANK, N.A.
015 B000011 S
015 C010011 SAO PAULO
015 D010011 BRAZIL
015 E040011 X
PAGE 3
018 000000 Y
019 A000000 Y
019 B000000 198
019 C000000 PRUDENTIAL
021 000000 0
022 A000001 CITIGROUP GLOBAL MARKETS, INC.
022 B000001 11-2418191
022 C000001 79609
022 D000001 23501
022 A000002 BANC OF AMERICA SECURITIES LLC
022 B000002 56-2058405
022 C000002 60743
022 D000002 23548
022 A000003 CREDIT SUISSE SECURITIES (USA) LLC
022 B000003 13-5659485
022 C000003 63535

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022 D000003 13108
 022 A000004 JPMORGAN CHASE & CO.
 022 B000004 13-2624428
 022 C000004 58938
 022 D000004 15404
 022 A000005 MORGAN STANLEY & CO. LLC
 022 B000005 13-2655998
 022 C000005 41702
 022 D000005 16899
 022 A000006 GOLDMAN SACHS & CO.
 022 B000006 13-5108880
 022 C000006 28355
 022 D000006 21327
 022 A000007 BARCLAYS CAPITAL, INC.
 022 B000007 06-1031656
 022 C000007 31668
 022 D000007 12293
 022 A000008 DEUTSCHE BANK SECURITIES, INC.
 022 B000008 13-2730828
 022 C000008 24969
 022 D000008 14200
 022 A000009 WELLS FARGO SECURITIES LLC
 022 B000009 56-2326000
 022 C000009 28307
 022 D000009 6033
 022 A000010 ROBERT W BAIRD & CO. INC.
 022 B000010 39-6037917
 022 C000010 13272
 022 D000010 10564
 023 C000000 481993
 023 D000000 253510
 024 000000 N
 026 A000000 N
 026 B000000 N
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 PAGE 4
 026 D000000 Y
 026 E000000 N
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 026 G010000 N
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 026 H000000 N
 027 000000 N
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| 051 | 000000 | N |
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| 053 | A000000 | N |

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054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
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 054 I000000 N
 054 J000000 Y
 054 K000000 Y
 054 L000000 N
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 054 N000000 Y
 054 O000000 Y
 PAGE 6
 055 A000000 N
 055 B000000 Y
 056 000000 Y
 057 000000 N
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 059 000000 Y
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 060 B000000 Y
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 070 A020000 N
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 070 C010000 Y
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 070 E010000 Y

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| | | | |
|-------|---------|----|--------|
| 070 | E020000 | N | |
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| 070 | G010000 | Y | |
| 070 | G020000 | N | |
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| 070 | H010000 | Y | |
| 070 | H020000 | N | |
| 070 | I010000 | Y | |
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| 070 | J010000 | Y | |
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| 070 | M020000 | Y | |
| 070 | N010000 | Y | |
| 070 | N020000 | Y | |
| 070 | O010000 | Y | |
| 070 | O020000 | Y | |
| 070 | P010000 | Y | |
| 070 | P020000 | N | |
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| 072 | Z000000 | | 29883 |
| 072AA | 000000 | | 15984 |
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| 072DD010000 | 36167 |
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| 074 F000000 | 30 |
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| 074 I000000 | 18214 |
| 074 J000000 | 2805 |
| 074 K000000 | 0 |
| 074 L000000 | 11604 |
| 074 M000000 | 1 |
| 074 N000000 | 715917 |
| 074 O000000 | 8180 |
| 074 P000000 | 480 |
| 074 Q000000 | 0 |
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| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 165597 |
| 074 S000000 | 0 |
| 074 T000000 | 541660 |
| 074 U010000 | 33257 |
| 074 U020000 | 0 |
| 074 V010000 | 16.29 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 0 |
| 074 Y000000 | 361922 |
| 075 A000000 | 0 |
| 075 B000000 | 551099 |
| 076 000000 | 14.07 |
| 077 A000000 | Y |
| 077 B000000 | Y |
| 077 C000000 | Y |
| 078 000000 | N |
| 080 A000000 | ICI Mutual Insurance Company |
| 080 B000000 | Arch Insurance Company |
| PAGE 9 | |
| 080 C000000 | 70000 |
| 081 A000000 | Y |
| 081 B000000 | 197 |
| 082 A000000 | N |
| 082 B000000 | 0 |
| 083 A000000 | N |
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| 084 B000000 | 0 |
| 085 A000000 | Y |
| 085 B000000 | N |
| 086 A010000 | 0 |
| 086 A020000 | 0 |
| 086 B010000 | 0 |

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| | | |
|-----------|--------------|--------------|
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | Common Stock |
| 087 | A020000 | 69346H100 |
| 087 | A030000 | ISD |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | JOSEPH ALESI | |
| TITLE | DIRECTOR | |