Engelkes Jack Form 4 October 25, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

**OMB APPROVAL** 

3235-0287

January 31,

2005

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\_X\_ Form filed by One Reporting Person

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Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Engelkes Jack Issuer Symbol HOME BANCSHARES INC (Check all applicable) [HOMB] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) P.O. BOX 966 10/24/2018 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

CONWAY, AR 72033							Form filed by More than One Reporting Person		
(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secu	rities Acq	quired, Disposed of	, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi or(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/24/2018		M	2,000	A	\$ 17.12	133,007.4189 (1)	D	
Common Stock - Restricted							5,000 (2) (3) (4)	D	
Common Stock							400	I	Held in wife's IRA
Common Stock							190,814.2726 (5)	I	Owned solely by wife
							42,719.392	I	

Common	Custodian
Stock	for
	daughters

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Transaction Derivative Code Securities		ative Expiration Date es (Month/Day/Year) d		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 17.12	10/24/2018		M		2,000	<u>(6)</u>	04/15/2025	Common Stock	2,000
Stock Option	\$ 2.66						<u>(7)</u>	<u>(7)</u>	Common Stock	1,425

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Engelkes Jack P.O. BOX 966 CONWAY, AR 72033	X							

## **Signatures**

/s/ Jack Engelkes by Micah 10/25/2018 Osborne

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

#### Edgar Filing: Engelkes Jack - Form 4

- (1) Includes 340.4780 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (2) Restricted Stock granted on January 19, 2018 will vest in 33 1/3% installments over three years beginning on the first anniversary of the award date.
- (3) Restricted Stock granted on January 20, 2017 will "cliff" vest 100% three years from award date.
- (4) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.
- (5) Includes 60.6210 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (6) The option is exercisable in five equal annual installments. The first installment became exercisable on April 16, 2016.
- (7) 20% of the issued option is remaining. The remaining options became exercisable on December 31, 2009 and expire on December 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.