Ladder Capital Corp Form 4 December 12, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Her Majesty the Queen in Right of the Province of Alberta as represented by Alberta Investment

Management Corp

(Last)

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Ladder Capital Corp [LADR]

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

X\_\_ 10% Owner Other (specify

(First)

(Street)

(State)

1100-10830 JASPER AVENUE

Officer (give title 3. Date of Earliest Transaction below) (Month/Day/Year)

12/12/2016

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

4. If Amendment, Date Original Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

### EDMONTON, ALBERTA, A0 T5J2B3

(City)

		- · · ·	Tuble 1 Tron Berryanye securities required, 2.3poseu 62, 61 Beneficially 6 when							
1.Title of	2. Transaction Date		3. 4. Securities Acquired (A)			5. Amount of	6.	7. Nature of		
Security	(Month/Day/Year)	Execution Date, if	Transaction Disposed of (D)				Securities	Ownership	Indirect	
(Instr. 3)		any	Code (Instr. 3, 4 and 5)				Beneficially	Form:	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership	
							Following	or Indirect	(Instr. 4)	
					(4)		Reported	(I)		
					(A)		Transaction(s)	(Instr. 4)		
					or		(Instr. 3 and 4)			
			Code V	Amount	(D)	Price	()			
Class A						ф			See	
Common	12/12/2016		J(1)	3,089,491	D	\$	9,130,756	T	Footnote	
	12/12/2010		<b>'</b> —	3,007,171		13.6	J,130,730	•	(2)	
Stock									(2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: Ladder Capital Corp - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerci	isable and	7. Title	e and	8. Price of	9
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if TransactionNuml		orNumber	Expiration Date		Amour	nt of	Derivative	1
	Security	or Exercise		any	Code	of	(Month/Day/Y	(ear)	Underl	lying	Security	5
	(Instr. 3)	Price of		(Month/Day/Year)	Year) (Instr. 8) Derivative Securities		e	Securit	ties	(Instr. 5)	]	
		Derivative						(Instr.	3 and 4)		(	
Security						Acquired						1
						(A) or						1
						Disposed						-
						of (D)						(
						(Instr. 3,						
						4, and 5)						
										A		
										Amount		
						Date	Expiration		or Namel			
							Exercisable	Date		Number		
					C 1 17	(A) (D)				of		
					Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address  $\frac{Relationships}{Director} \times \frac{10\%}{Owner} \times \frac{Officer}{Owner} \times \frac{Office$ 

## **Signatures**

/s/ Jon Reay, Chief Compliance Officer 12/12/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

EDMONTON, ALBERTA, A0 T5J2B3

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Sold in connection with the registered, underwritten public offering by selling stockholders of shares of Class A Common Stock of

  (1) Ladder Capital Corp. (the "Issuer"), which closed on December 12, 2016, including full exercise of the underwriters' option to purchase additional shares. For more information, see the Issuer's final prospectus filed pursuant to Rule 424(b)(3) on December 7, 2016.
  - The securities are held directly by GP09 PX (LAPP) Ladder Capital Ltd. (1,625,073 shares sold in the offering, and 4,802,777 shares following the offering), GP09 PX Ladder Capital Ltd. (355,291 shares sold in the offering, and 1,050,037 shares following the offering), and GP09 GV Ladder Capital Ltd. (1,109,127 shares sold in the offering, and 3,277,942 shares following the offering). Alberta
- (2) Investment Management Corporation ("AIMCo") may be deemed to have voting and investment power with respect to shares held by GP09 PX (LAPP) Ladder Capital Ltd., GP09 PX Ladder Capital Ltd., and GP09 GV Ladder Capital Ltd. AIMCo disclaims beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of the Issuer's shares reported herein in which AIMCo has no actual pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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