

GENERAL MILLS INC

Form 3

January 17, 2017

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Quam Bethany C.

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/01/2017

3. Issuer Name **and** Ticker or Trading Symbol
GENERAL MILLS INC [GIS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

NUMBER ONE GENERAL MILLS BOULEVARD

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Group President6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

MINNEAPOLIS,Â MNÂ 55426

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

26,871

D

Â

Common Stock

6,084.06

I

by Trust (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Edgar Filing: GENERAL MILLS INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	06/29/2013	07/29/2019	Common Stock	18,536	\$ 27.92	D	Â
Non-Qualified Stock Option (right to buy)	06/23/2012	07/23/2018	Common Stock	8,712	\$ 31.7	D	Â
Non-Qualified Stock Option (right to buy)	06/28/2015	07/28/2021	Common Stock	10,732	\$ 37.21	D	Â
Non-Qualified Stock Option (right to buy)	06/28/2014	07/28/2020	Common Stock	13,729	\$ 37.4	D	Â
Non-Qualified Stock Option (right to buy)	06/26/2016	07/26/2022	Common Stock	5,455	\$ 38.15	D	Â
Non-Qualified Stock Option (right to buy)	06/25/2017	07/25/2023	Common Stock	5,024	\$ 48.33	D	Â
Non-Qualified Stock Option (right to buy)	06/24/2018	07/24/2024	Common Stock	7,821	\$ 53.7	D	Â
Non-Qualified Stock Option (right to buy)	06/30/2019	07/31/2025	Common Stock	11,576	\$ 55.72	D	Â
Non-Qualified Stock Option (right to buy)	06/21/2020	07/21/2026	Common Stock	19,543	\$ 66.52	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Quam Bethany C. NUMBER ONE GENERAL MILLS BOULEVARD MINNEAPOLIS, MN 55426	Â	Â	Â Group President	Â

Signatures

By: Christopher A. Rauschl For: Bethany C.
Quam 01/17/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Held in Trust by the Trustee of the General Mills Savings Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.