Edgar Filing: LATTICE SEMICONDUCTOR CORP - Form 4

LATTICE SEMICONDUCTOR CORP

Form 4

September 02, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31,

2005

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per 0.5

OMB APPROVAL

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

Expires:

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * KRISHNAMURTHY BALAJI

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol LATTICE SEMICONDUCTOR

(Check all applicable)

CORP [LSCC]

(Middle)

(Zin

3. Date of Earliest Transaction

Filed(Month/Day/Year)

_X__ Director Officer (give title below)

10% Owner Other (specify

(Month/Day/Year) 5555 N.E. MOORE CT. 08/29/2014

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

HILLSBORO, OR 97124

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or Dispose (Instr. 3, 4)	ed of (` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	08/29/2014		M	10,000	A	\$ 4.53	68,306	D			
Common Stock	08/29/2014		S	10,000 (1)	D	\$ 7.4574 (2)	58,306	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orderivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and An Underlying Se (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title [
Non-Qualified Stock Option (right to buy)	\$ 4.53	08/29/2014		M	10,000	07/31/2006(3)	01/31/2016	Common Stock

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

KRISHNAMURTHY BALAJI
5555 N.E. MOORE CT. X

HILLSBORO, OR 97124

Signatures

By: Byron W. Milstead, Attorney-in-Fact For: Balaji Krishnamurthy

09/02/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction indicated was conducted under an approved 10b5-1 Plan.
- (2) The price indicated is the weighted average sale price for the shares sold. The individual sale prices for the shares indicated range from \$7.41 to \$7.49.
- This option to purchase shares of common stock vests as follows: 12.5% of the total amount of shares subject to the option vest on (3) 7/31/06, and 6.25% of the total amount of shares subject to the option vest each three months thereafter until all shares are vested on 1/31/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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