## Edgar Filing: HALE JAMES C III - Form 4

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Form 4											
November 14	Λ	TATES SE	CURITIES A	ND EXC	HAN	IGE (	COMMISSION	-	PPROVAL		
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation: may contin <i>See</i> Instruct 1(b).	Filed purs Section 17(a	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5		
(Print or Type Ro	esponses)										
HALE JAMES C III Symbo MITE			nbol					5. Relationship of Reporting Person(s) to Issuer			
			MITEK SYSTEMS INC [MITK] 3. Date of Earliest Transaction				(Check all applicable)				
, <i>,</i> ,	ET, SUITE 100	(Me	onth/Day/Year) /13/2018	unsuetion			_X_ Director Officer (give below)		o Owner er (specify		
			f Amendment, Da ed(Month/Day/Year	-			<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>				
SAN DIEGO	), CA 92101							Iore than One Re			
(City)	(State) (	Zip)	Table I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/	Code Year) (Instr. 8)	4. Securiti on(A) or Dis (D) (Instr. 3, 4	sposed and 5 (A) or	of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	11/13/2018		Code V A	Amount 13,172 (1)	(D) A	Price \$ 0	124,307	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exer Expiration D		7. Title and Amount of	8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securitie Acquire (A) or Dispose of (D) (Instr. 3)	of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed		Underlying Securities (Instr. 3 and	(Instr. 5) 4)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D	) Date Exercisable	Expiration Date	Title Amou or Numb of Share	ber	

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
HALE JAMES C III 600 B STREET, SUITE 100 SAN DIEGO, CA 92101	Х						
Signatures							
/s/ Jason Gray, by Power of Attorney		11/14/201	8				
<u>**</u> Signature of Reporting Person		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units granted on November 13, 2018. Shares subject to the award vest on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.