

SOLOMON DAVID M  
Form 4  
November 22, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SOLOMON DAVID M

2. Issuer Name and Ticker or Trading Symbol  
GOLDMAN SACHS GROUP INC  
[GS]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)  
  
\_\_\_\_ Director      \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below)      \_\_\_\_ Other (specify below)  
President and Co-COO

(Last)      (First)      (Middle)  
  
C/O GOLDMAN SACHS & CO.  
LLC, 200 WEST STREET  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/20-05:00/2017

NEW YORK, NY 10282

(City)      (State)      (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock, par value \$0.01 per share	11/20-05:00/2017		M <sup>(1)</sup>		47,282	A	\$ 204.16	224,876	D
Common Stock, par value \$0.01 per share	11/20-05:00/2017		F <sup>(2)</sup>		44,254	D <sub>(2)</sub>	\$ 238.78	180,622	D
	11/20-05:00/2017		S		3,028	D	\$ 238.683	177,594	D

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Common Stock, par value \$0.01 per share								
Common Stock, par value \$0.01 per share	11/21-05:00/2017	<u>M</u> <sup>(1)</sup>	47,282	A	\$ 204.16	224,876	D	
Common Stock, par value \$0.01 per share	11/21-05:00/2017	<u>F</u> <sup>(2)</sup>	44,206	<u>D</u> <sup>(2)</sup>	\$ 239.42	180,670	D	
Common Stock, par value \$0.01 per share	11/21-05:00/2017	S	3,076	D	\$ 239.2826	177,594	D	
Common Stock, par value \$0.01 per share						15,760	I	See footnote <u>(3)</u>
Common Stock, par value \$0.01 per share						500	I	See footnote <u>(4)</u>
Common Stock, par value \$0.01 per share						500	I	See footnote <u>(5)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. U
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