Vahedi Vahid Form 4 March 05, 2019

## FORM 4

Form 5

(Last)

**PARKWAY** 

Stock

obligations

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

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Estimated average burden hours per

response... Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

See Instruction 1(b). (Print or Type Responses)

may continue.

1. Name and Address of Reporting Person \* Vahedi Vahid

(First)

CORPORATION, 4650 CUSHING

(Middle)

2. Issuer Name and Ticker or Trading Symbol

### LAM RESEARCH CORP [LRCX]

3. Date of Earliest Transaction

(Month/Day/Year) 03/01/2019

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

Senior Vice President

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### FREMONT, CA 94538

C/O LAM RESEARCH

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securit Transaction(A) or Dis Code (Instr. 3, 4 (Instr. 8)		(A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/01/2019		Code V F	Amount 3,947	(D)	Price \$ 176.75	50,949 (1)	D			
Common Stock	03/01/2019		F	1,315	D	\$ 176.75	49,634 (1)	D			
Common Stock	03/01/2019		F	856	D	\$ 176.75	48,778 <u>(1)</u>	D			
Common Stock	03/01/2019		F	591	D	\$ 176.75	48,187 <u>(1)</u>	D			
Common Stock	03/01/2019		A	2,788 (2)	A	\$ 0	50,975 (1)	D			

(2)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Market-based Performance Restricted Stock Unit	\$ 0	03/01/2019		A	4,647	(3)	(3)	Common Stock	4,647
Employee Stock Option (Right to Buy)	\$ 176.75	03/01/2019		A	7,432	<u>(4)</u>	03/01/2026	Common Stock	7,432

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Vahedi Vahid C/O LAM RESEARCH CORPORATION 4650 CUSHING PARKWAY FREMONT, CA 94538

Senior Vice President

## **Signatures**

Leign Cramer by Power of Attorney 03/05/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Amount reported includes shares subject to unvested Restricted Stock Units.

Reporting Owners 2

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- (2) The Restricted Stock Units will vest in three equal installments on 03/01/2020, 03/01/2021, and 03/01/2022.
  - These Market-based Performance Restricted Stock Units vest between 0% and 150% on 03/01/2022. The performance of this award is
- (3) based on the Lam Research Total Shareholder Return performance relative to the Philadelphia Semiconductor Sector Index Total Shareholder Return during the performance period of 02/01/2019 through 01/31/2022.
- (4) The stock option becomes exercisable in three equal installments on 03/01/2020, 03/01/2021, and 03/01/2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.