Beaty Anne L. Form 4 December 07, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Beaty Anne L.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

HALLIBURTON CO [HAL]

(First) (Middle) (Last)

(Street)

(State)

12/05/2018

3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify

below)

(Check all applicable)

3000 N. SAM HOUSTON PARKWAY E.

4. If Amendment, Date Original

Senior VP, Finance 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

(Month/Day/Year)

12/05/2018

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

HOUSTON, TX 77032

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) (Instr. 3 and 4) (D) Price

Code V Amount

\$ 5,100 Α 31.44 (1) (2)

60,096.154 D

Stock

Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: Beaty Anne L. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Option to Buy Common Stock	\$ 31.44	12/05/2018		A	8,700	12/05/2018(3)	12/05/2028	Common Stock	8,700
Option to Buy Common Stock	\$ 43.38					12/06/2017	12/06/2027	Common Stock	5,800
Option to Buy Common Stock	\$ 55.68					01/03/2017	01/03/2027	Common Stock	17,574
Option to Buy Common Stock	\$ 34.48					01/04/2016	01/04/2026	Common Stock	29,412
Option to Buy Common Stock	\$ 39.49					01/02/2015	01/02/2025	Common Stock	17,520
Option to Buy Common Stock (11/2013)	\$ 53.13					11/05/2013	11/05/2023	Common Stock	10,000
Option to Buy Common Stock (01/13)	\$ 36.31					01/03/2013	01/03/2023	Common Stock	9,300
Option to Buy Common Stock	\$ 34.15					01/03/2012	01/03/2022	Common Stock	7,500
	\$ 31.65					01/05/2010	01/05/2020		9,500

Option to
Buy
Common
Stock

Common Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Beaty Anne L.

3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032

Senior VP, Finance

**Signatures** 

/s/ Bruce A. Metzinger, by Power of Attorney

12/07/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded pursuant to the Halliburton Company Stock and Incentive Plan. Said Plan provides for the surrender of common stock to the Issuer to satisfy withholding tax obligations.
- (2) December 5, 2018 was declared a Federal Holiday. On December 4, 2018, the closing price of Halliburton Company's Common Stock on the New York Stock Exchange was \$31.44.
- (3) The options awarded become exercisable on each of the first, second and third anniversaries of the grant in cumulative increments of one-third each of the number of shares subject to the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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