MICHALAK MICHAEL H

Form 4

February 04, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

OMB APPROVAL

Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

MICHALAK MICHAEL H Sy			r Name and Ticker or Trading RICA INC /NEW/ [CMA]	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N	Middle) 3. Date ο (Month/Γ	f Earliest Transaction Day/Year)	(Check all applicable) Director 10% OwnerX_ Officer (give title Other (specify			
	,	01,31,2		below) below) EVP			
	(Street)		endment, Date Original nth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
DALLAS, 7	ΓX 75201						
(City)	(State)	(Zip) Tabl	le I - Non-Derivative Securities A	acquired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquire Transaction(A) or Disposed of (I Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price	D) Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	01/31/2019		F 1,084 D \$ 78.7	45,804 <u>(1)</u> D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: MICHALAK MICHAEL H - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date any (Month/Day/Year)		4. 5. TransactionNumber Code of (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 39.1					01/25/2012(2)	01/25/2021	Common Stock	8,000
Employee Stock Option (right to buy)	\$ 29.6					01/24/2013(2)	01/24/2022	Common Stock	8,000
Employee Stock Option (right to buy)	\$ 33.79					01/22/2014(2)	01/22/2023	Common Stock	2,000
Employee Stock Option (right to buy)	\$ 49.51					01/21/2015(2)	01/21/2024	Common Stock	1,857
Employee Stock Option (right to buy)	\$ 42.32					01/27/2016(2)	01/27/2025	Common Stock	3,500
Employee Stock Option (right to buy)	\$ 32.97					01/26/2017(2)	01/26/2026	Common Stock	4,520
Employee Stock Option	\$ 67.66					01/24/2018(2)	01/24/2027	Common Stock	2,530

(right to buy)

Employee Stock

(right to buy)

Option \$ 95.25

 $01/23/2019\underline{^{(2)}} \quad 01/23/2028$

Common Stock

2,115

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MICHALAK MICHAEL H 1717 MAIN STREET MC 6406

EVP

Signatures

DALLAS, TX 75201

a currently valid OMB number.

/s/ Jennifer S. Perry, on behalf of Michael H. Michalak through Power of Attorney

02/04/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired through employee stock plans, shares purchased with reinvested dividends, restricted stock units and stock units held pursuant to a deferred compensation plan as of January 31, 2019.
- (2) The options vest in four equal annual installments (based on the original grant amount) beginning on the date indicated in this column. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

Reporting Owners 3