

ENTEGRIS INC
Form 4
August 03, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Rucci Corey

(Last) (First) (Middle)
1613 RIVIERA LANE
(Street)

SOUTHLAKE, TX 76092

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ENTEGRIS INC [ENTG]

3. Date of Earliest Transaction (Month/Day/Year)
08/01/2016

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
V.P. Business Development

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 08/01/2016 | | M | | 2,768 | A | \$ 9.27 |
| | | | | | 28,467.735 | | (1) |
| Common Stock | 08/01/2016 | | F | | 1,920 | D | \$ 16.97 |
| Common Stock | 08/01/2016 | | M | | 3,950 | A | \$ 9.88 |
| Common Stock | 08/01/2016 | | F | | 2,836 | D | \$ 16.97 |
| Common Stock | 08/01/2016 | | M | | 9,746 | A | \$ 11.71 |
| | | | | | 37,407.735 | | |

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| | | | | | | | | |
|--------------|------------|---|-------|---|----------------------|------------|---|--------|
| Common Stock | 08/01/2016 | F | 7,706 | D | \$ 16.97 | 29,701.735 | D | |
| Common Stock | 08/01/2016 | M | 3,599 | A | \$ 13.49 | 33,300.735 | D | |
| Common Stock | 08/01/2016 | F | 3,101 | D | \$ 16.97 | 30,199.735 | D | |
| Common Stock | 08/01/2016 | S | 2,846 | D | \$ 16.9955 (2) | 27,353.735 | D | |
| Common Stock | 08/02/2016 | S | 4,500 | D | \$ 16.8356 (3) | 22,853.735 | D | |
| Common Stock | | | | | | 50,000 | I | By IRA |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Employee Stock Option (Right to Buy) | \$ 9.27 | 08/01/2016 | | M | 2,768 | (4) 02/19/2019 | Common Stock | 2,768 |
| Employee Stock Option (Right to Buy) | \$ 9.88 | 08/01/2016 | | M | 3,950 | (6) 02/19/2020 | Common Stock | 3,950 |

| | | | | | | | | |
|--|----------|------------|---|-------|-----|------------|-----------------|-------|
| Employee Stock Option (Right to Buy) | \$ 11.71 | 08/01/2016 | M | 9,746 | (7) | 02/19/2021 | Common Stock | 9,746 |
| Employee Stock Option (Right to Buy) | \$ 13.49 | 08/01/2016 | M | 3,599 | (8) | 02/19/2022 | Common Stock | 3,599 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Rucci Corey 1613 RIVIERA LANE SOUTHLAKE, TX 76092 | | | V.P. Business Development | |

Signatures

/s/ Sue Lee, Attorney-In-Fact for Corey
Rucci

08/03/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 1,076,558 shares acquired under the Entegris, Inc. Employee Stock Purchase Plan on June 30, 2016.

The price reported in column 4 is a weighted average price reported in reliance on a letter from the Office of Chief Counsel, Securities and Exchange Commission's Division of Corporate Finance to the Society of Corporate Secretaries & Corporate Governance Professionals dated June 25, 2008. These shares were sold in multiple transactions at prices ranging from \$16.93 to \$17.07, inclusive. The reporting person undertakes to provide Entegris, Inc., any shareholder of Entegris, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

(2) The price reported in column 4 is a weighted average price reported in reliance on a letter from the Office of Chief Counsel, Securities and Exchange Commission's Division of Corporate Finance to the Society of Corporate Secretaries & Corporate Governance Professionals dated June 25, 2008. These shares were sold in multiple transactions at prices ranging from \$16.75 to \$16.92, inclusive. The reporting person undertakes to provide Entegris, Inc., any shareholder of Entegris, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

(3) This option is fully vested.

(4) This option was granted pursuant to the Entegris, Inc. 2010 Stock Plan, as amended, in consideration of services as an employee.

(5) This option vests in four equal annual installments. The first three installments became exercisable on February 19, 2014, February 19, 2015 and February 19, 2016, respectively. The last installment becomes exercisable on February 19, 2017.

(6) This option vests in four equal annual installments. The first two installments became exercisable on February 19, 2015 and February 19, 2016, respectively. The remaining installments become exercisable on February 19, 2017 and February 19, 2018, respectively.

(7) This option vests in four equal annual installments. The first installment became exercisable on February 19, 2016. The remaining installments become exercisable on February 19, 2017, February 19, 2018 and February 19, 2019, respectively.

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