

Boothby Lee K  
Form 4  
August 16, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Boothby Lee K

2. Issuer Name and Ticker or Trading Symbol  
NEWFIELD EXPLORATION CO /DE/ [NFX]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)  
  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President and CEO

(Last) (First) (Middle)  
4 WATERWAY SQUARE PLACE,  
SUITE 100  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
08/15/2018

THE WOODLANDS, TX 77380

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount or Price		
common stock					4,483	I	By 401(k)
common stock <sup>(1)</sup>	08/15/2018		M		16,955 <u>(2)</u> <u>(3)</u>	A	\$ 0 258,667
common stock	08/15/2018		M		12,670 <u>(2)</u> <u>(3)</u>	A	\$ 0 271,337
common stock	08/15/2018		M		9,929 <u>(2)</u> <u>(3)</u>	A	\$ 0 281,266
common stock	08/15/2018		D		39,554 <u>(3)</u>	D	\$ 25.9425 241,712

Edgar Filing: Boothby Lee K - Form 4

common stock      08/15/2018      F      31,131      D      \$ 25.9425      210,581      D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable      Expiration Date	Title      Amount or Number of Shares
Phantom Stock Unit	\$ 0 <sup>(2)</sup>	08/15/2018		M	16,955	08/15/2016      02/15/2019	common stock      16,955
Phantom Stock Unit	\$ 0 <sup>(2)</sup>	08/15/2018		M	12,670	08/15/2017      02/15/2020	common stock      12,670
Phantom Stock Unit	\$ 0 <sup>(2)</sup>	08/15/2018		M	9,929	08/15/2018      02/15/2021	common stock      9,929

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director      10% Owner      Officer      Other

Boothby Lee K  
4 WATERWAY SQUARE PLACE, SUITE 100  
THE WOODLANDS, TX 77380

President and CEO

## Signatures

/s/ Timothy D. Yang as attorney-in-fact for Lee K. Boothby

08/16/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The amount of securities beneficially owned following reporting transactions (column 5) includes 389 shares of common stock acquired by the reporting person on June 30, 2018 pursuant to the Newfield Exploration Company Employee Stock Purchase Plan.
- (2) Each cash-settled restricted stock unit subject to this award is the economic equivalent of one share of the issuer's common stock and may be settled solely in cash.
- (3) These transactions reflect the conversion of cash-settled restricted stock units into cash (i.e., the deemed acquisition and simultaneous disposition of the underlying shares of stock).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.