Edgar Filing: THOMPSON JAMES H - Form 5

THOMPSON JAMES H Form 5 October 13, 2017 FORM 5

1(b).

(Last)

OMB APPROVAL OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer THOMPSON JAMES H Symbol QUALCOMM INC/DE [QCOM] (Check all applicable) (First) 3. Statement for Issuer's Fiscal Year Ended (Middle) (Month/Day/Year) Director 10% Owner _ Other (specify _X__Officer (give title 09/24/2017 below) below) 5775 MOREHOUSE DR. EVP, Engineering & CTO

(Street)

SAN DIEGO, CAÂ 92121-1714

X Form Filed by One Reporting Person _ Form Filed by More than One Reporting Person

6. Individual or Joint/Group Reporting

(check applicable line)

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities6. Ownership Form: DirectBeneficially Owned at end of Issuer's(D) or Indirect (I)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					(A)or(D) Price	Fiscal Year (Instr. 3 and 4)			
Common Stock	05/16/2017	Â	G	1,515 I	D \$0	74,013	D	Â	
Common Stock	05/16/2017	Â	G	1,515 A	A \$0	3,135	Ι	Childrens' Trust <u>(1)</u>	

4. If Amendment, Date Original

Filed(Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

1

Edgar Filing: THOMPSON JAMES H - Form 5

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. of D So B O E I S Fi (I
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
THOMPSON JAMES H 5775 MOREHOUSE DR. SAN DIEGO, CA 92121-1714	Â	Â	EVP, Engineering & CTO	Â			
Signatures							
Dry Norson E. Dyrang Attomacy in 1	To at Eam	Iomaa II					

By: Noreen E. Burns, Attorney-in-Fact For: James H. Thompson

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are held in individual trusts for the benefit of the reporting person's children. Dr. Thompson disclaims all beneficial ownership for the shares held in trusts for the benefit of his children.

10/04/2017

Date

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.