#### TEEGARDEN ERIC M

Form 4

February 06, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287 January 31,

0.5

Check this box if no longer

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average

**OMB APPROVAL** 

burden hours per

response...

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* TEEGARDEN ERIC M

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

(Middle)

(Zip)

Hillenbrand, Inc. [HI] 3. Date of Earliest Transaction

(Check all applicable)

ONE BATESVILLE BOULEVARD

(Month/Day/Year)

Director Officer (give title

10% Owner

02/05/2018

Other (specify

below)

VP, Controller & CAO

(Street)

(State)

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I -

Applicable Line)

\_X\_ Form filed by One Reporting Person

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting

BATESVILLE, IN 47006

|  | <br> | or Ronoficially |  |
|--|------|-----------------|--|
|  |      |                 |  |

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transacti<br>Code<br>(Instr. 8) | Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) |           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
|--------------------------------------|---|---|---------------------------------------|---|-----------|--|--|---|--|
|                                      |   |   | Code V                                | Amount  | or<br>(D) | Price  | (Instr. 3 and 4)   | , ,,  |  |
| Common<br>Stock                      | 02/05/2018                              |   | M                                     | 3,531   | A         | \$ 31.11   | 3,531  | D   |  |
| Common<br>Stock                      | 02/05/2018                              |   | M                                     | 1,646   | A         | \$ 36.08   | 5,177  | D   |  |
| Common<br>Stock                      | 02/05/2018                              |   | S                                     | 5,177   | D         | \$<br>44.4923  | 0  | D   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

### Edgar Filing: TEEGARDEN ERIC M - Form 4

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|--|--|--|--------------------|---|--|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 31.11  | 02/05/2018                              |   | M                                      | 3,531  | 12/02/2016   | 02/02/2025         | Common<br>Stock   | 3,531                                  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 36.08  | 02/05/2018                              |   | M                                      | 1,646  | 12/07/2017   | 12/07/2026         | Common<br>Stock   | 1,646                                  |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

TEEGARDEN ERIC M

ONE BATESVILLE BOULEVARD

BATESVILLE, IN 47006

**Signatures** 

By Carol A. Roell as Attorney-in-Fact for Eric M.

Teegarden

02/06/2018

VP, Controller & CAO

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$44.45 to \$44.525. The reporting person undertakes to provide Hillenbrand, Inc., any security holder of Hillenbrand, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the

Reporting Owners 2

## Edgar Filing: TEEGARDEN ERIC M - Form 4

range se forth in this footnote to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.