Bristow Claire H Form 4 August 28, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

OMB APPROVAL

3235-0287

January 31,

2005

OMB

Number:

Expires:

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person * Bristow Claire H

(First)

(Street)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]

(Check all applicable)

4300 SIX FORKS ROAD

3. Date of Earliest Transaction (Month/Day/Year)

Director _X__ 10% Owner _ Other (specify Officer (give title

07/18/2018

4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

RALEIGH, NC 27609

(City)	(State)	(Zip) Tab	le I - N	on-l	Derivative :	Secur	ities Ac	equired, Dispose	d of, or Benefi	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code		4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Class A Common	07/18/2018		G	V	50,000	A	\$0	305,606	D	
Class A Common	08/15/2018		G	V	25,219	A	\$0	330,825 (1)	D	
Class A Common								10,858	I	As beneficiary of Trust
Class A Common								6,737 (2)	I	By Spouse
Class A Common								1,775 (2)	I	By Son, Peter M. Bristow,

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			Jr.
Class A Common	10,850 (2)	I	By spouse as custodian for Peter Bristow, Jr.
Class A Common	12,533 (2)	I	By spouse as custodian for Charlotte Bristow
Class A Common	12,377 (2)	I	By spouse as custodian for Ella Bristow
Class A Common	6,106 (2)	I	PMB Investments, LLC
Class A Common	2,154 (2)	I	CRB Investments, LLC
Class A Common	2,045 (2)	I	EHB Investments, LLC
Class A Common	19,041 <u>(2)</u>	I	By spouse as trustee for PMB Trust 2011
Class A Common	19,041 (2)	I	By spouse as trustee for CRB Trust 2011
Class A Common	19,041 (2)	I	By spouse as trustee for EHB Trust 2011
Class B Common	82,866	D	
Class B Common	1,250	I	As beneficiary of Trust
Class B Common	538 (2)	I	By spouse
Class B Common	2,080 (2)	I	By spouse as custodian for Peter Bristow, Jr.

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Class B Common	8,350 (2)	I	PMB Investments, LLC
Class B Common	2,081 (2)	I	By spouse as custodian for Charlotte Bristow
Class B Common	8,850 (2)	I	CRB Investments, LLC
Class B Common	2,081 (2)	I	By spouse as custodian for Ella Bristow
Class B Common	8,710 <u>(2)</u>	I	EHB Investments, LLC
Class B Common	82 (2)	I	By Son. Peter M. Bristow, Jr.
Class B Common	82 (2)	I	By daughter, Charlotte Bristow
Class B Common	82 (2)	I	By daughter, Ella Bristow

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)		onNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		Secur	ant of rlying	8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Shares

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Bristow Claire H

4300 SIX FORKS ROAD X

RALEIGH, NC 27609

Signatures

Claire H. Bristow, By: William R. Lathan, Jr.,
Attorney-in-Fact

08/22/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects distribution from Ella Ann L. Holding 2016 grantor retained annuity trust.
 - The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the
- (2) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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