

Trebilcock James R.  
Form 4  
July 10, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Trebilcock James R.

(Last) (First) (Middle)  
5301 LEGACY DR.  
  
(Street)

PLANO, TX 75024

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Keurig Dr Pepper Inc. [KDP]

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/09/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock	07/09/2018		M <sup>(1)</sup>		2,935	A	\$ 0	12,666	D
Common Stock	07/09/2018		J <sup>(2)</sup>		159	A	\$ 0	12,824	D
Common Stock	07/09/2018		M <sup>(1)</sup>		3,329	A	\$ 0	16,153	D
Common Stock	07/09/2018		J <sup>(3)</sup>		100	A	\$ 0	16,253	D
Common Stock	07/09/2018		M <sup>(1)</sup>		9,033	A	\$ 0	25,286	D

Edgar Filing: Trebilcock James R. - Form 4

Common Stock	07/09/2018	J <sup>(4)</sup>	43	A	\$ 0	25,329	D
Common Stock	07/09/2018	M <sup>(5)</sup>	8,275	A	\$ 51.68	33,604	D
Common Stock	07/09/2018	M <sup>(5)</sup>	16,984	A	\$ 79.2	50,588	D
Common Stock	07/09/2018	M <sup>(5)</sup>	18,133	A	\$ 91.98	68,721	D
Common Stock	07/09/2018	M <sup>(5)</sup>	21,107	A	\$ 94.62	89,828	D
Common Stock	07/09/2018	M <sup>(6)</sup>	5,157	A	\$ 0	94,985	D
Common Stock	07/09/2018	M <sup>(6)</sup>	5,716	A	\$ 0	100,701	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	\$ 0 <sup>(7)</sup>	07/09/2018	07/09/2018	M <sup>(1)</sup>		2,935	<sup>(8)</sup>	<sup>(8)</sup>	Common Stock	2,935
Restricted Stock Unit	\$ 0 <sup>(7)</sup>	07/09/2018	07/09/2018	M <sup>(1)</sup>		3,329	<sup>(9)</sup>	<sup>(9)</sup>	Common Stock	3,329
Restricted Stock Unit	\$ 0 <sup>(7)</sup>	07/09/2018	07/09/2018	M <sup>(1)</sup>		9,033	<sup>(10)</sup>	<sup>(10)</sup>	Common Stock	9,033
Employee Stock Options (Right to Buy)	\$ 51.68	07/09/2018	07/09/2018	M <sup>(5)</sup>		8,275	<sup>(11)</sup>	03/04/2024	Common Stock	8,275



## Edgar Filing: Trebilcock James R. - Form 4

number of shares underlying such DPS stock option multiplied by the difference between the special cash dividend per share amount and the exercise price per share of such DPS stock option as of immediately prior to the record date for the special cash dividend.

- Pursuant to the Merger Agreement, immediately prior to the effective time, each outstanding Performance Stock Unit ("PSU") (with DPS PSUs vesting at target performance levels or at such higher performance levels as may be required pursuant to the applicable terms of a DPS benefit plan) vested and as soon as administratively possible following the effective time will be settled in exchange for (i) a number of shares of DPS common stock equal to the number of shares underlying such DPS PSU, and (ii) an amount in cash equal to the number of shares underlying such DPS PSU multiplied by the special cash dividend per share amount.
- (7) Each restricted stock unit represented a contingent right to receive one share of the Issuer's Common Stock and was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009.
  - (8) These restricted stock units were to vest on March 2, 2019.
  - (9) These restricted stock units were to vest on March 4, 2020.
  - (10) These restricted stock units were to vest on March 4, 2021.
  - (11) This option was granted on March 3, 2014 pursuant to Issuer's Omnibus Stock Incentive Plan of 2009 and is fully vested.
  - (12) This option was granted on March 2, 2015 pursuant to Issuer's Omnibus Stock Incentive Plan of 2009 and is fully vested.
  - (13) This option was granted March 2, 2016 pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and was to vest in three equal annual installments on each anniversary date of the grant commencing on March 2, 2017.
  - (14) This option was granted on March 2, 2017 pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and was to vest in three equal annual installments on each anniversary date of the grant commencing on March 2, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.