Brice Carson H Form 4 May 31, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

1(b).

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

Brice Carson H

(Last)

PO BOX 1352

2. Issuer Name and Ticker or Trading

Symbol

FIRST CITIZENS BANCSHARES

INC /DE/ [FCNCA]

3. Date of Earliest Transaction

(Month/Day/Year) 04/17/2018

(Street) 4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director \_X\_\_ 10% Owner \_ Other (specify Officer (give title

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting

Person

SMITHFIELD, NC 27577

(City)	(State)	(Zip) Tab	le I - No	on-D	erivative S	Securi	ties Ac	quired, Disposed	of, or Benefic	ially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (Instr.		4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Class A Common	04/17/2018		G	V	22,200	D	\$0	231,834	D		
Class A Common								280	I	By spouse	
Class A Common								4,178	I	As custodian for Stephen Brice	
Class A Common								3,578	I	As Custodian for Cordelia Brice	

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Class A common						3,578	I	As Custodian for Elizabeth Brice
Class A Common						10,652	I	As beneficiary of Trust
Class A Common						72,800 (1)	I	By ECHB, LLC
Class A Common	04/17/2018	G	V 22,200	A	\$0	76,986 <u>(2)</u>	I	Trusts f/b/o children
Class A Common	04/18/2018	G	V 570	A	\$0	77,556 <u>(2)</u>	I	Trusts f/b/o children
Class B Common						108,756	D	
Class B Common						563	I	By spouse
Class B Common						2,347	I	As custodian for Stephen Brice
Class B Common						2,348	I	As custodian for Elizabeth Brice
Class B Common						2,348	I	As custodian for Cordelia Brice
Class B Common						1,250	I	As beneficiary of Trust
Class B Common	04/18/2018	G	V 806	A	\$ 0	2,525	I (2)	Trusts f/b/o children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

		3. Transaction Date			5.	6. Date Exercisable and	7. Title and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Own

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Security

Acquired
(A) or
Disposed
of (D)
(Instr. 3,
4, and 5)

Code V (A) (D) Date Expiration Title Amount
Exercisable Date or
Number

Follo

Repo

Trans

(Insti

of Shares

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Brice Carson H
PO BOX 1352

SMITHFIELD, NC 27577

## **Signatures**

Carson Holding Brice, By: William R. Lathan, Jr.,

Attorney-in-fact

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The listed shares are held by a limited liability company in which 100% of the voting interests are held by a trust for the benefit of the Reporting Person's children. The Reporting Person does not serve as trustee of the trust or have any interest in the limited liability

- (1) company, she disclaims beneficial ownership of the shares held by the limited liability company, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the shares for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
- The listed shares are held by three trusts for the benefit of the Reporting Person's children. The Reporting Person does not serve as trustee of or have or share investment control over the Issuer's shares held by the trusts, she disclaims beneficial ownership of the shares held by the trusts, and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of the shares for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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