

Kosiba John W JR
Form 4
May 15, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Kosiba John W JR

2. Issuer Name and Ticker or Trading Symbol
AMERICAN SUPERCONDUCTOR CORP /DE/ [AMSC]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
SVP, CFO & Treasurer

(Last) (First) (Middle)

C/O AMERICAN SUPERCONDUCTOR CORPORATION, 114 EAST MAIN ST

3. Date of Earliest Transaction (Month/Day/Year)
05/12/2018

(Street)
AYER, MA 01432

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V Amount (D) Price | | | |
| Common Stock | 05/12/2018 | | F | 2,443 (1) D \$ 6.89 | 111,110 | D | |
| Common Stock | 05/14/2018 | | F | 2,177 (2) D \$ 6.88 | 108,933 | D | |
| Common Stock | 05/14/2018 | | F | 2,178 (3) D \$ 6.88 | 106,755 (4) | D | |
| Common Stock | | | | | 2,513 (5) | I | By 401(k) Plan |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Kosiba John W JR
C/O AMERICAN SUPERCONDUCTOR CORPORATION
114 EAST MAIN ST
AYER, MA 01432

SVP, CFO & Treasurer

Signatures

/s/ John W.
Kosiba, Jr. 05/15/2018

 Date
**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 2,443 shares tendered to the company to satisfy the reporting person's tax withholding obligation upon the vesting on May 12, 2018 of restricted stock awarded on May 12, 2016; not an open market transaction.
- (2) Represents 2,177 shares tendered to the company to satisfy the reporting person's tax withholding obligation upon the vesting on May 14, 2018 of restricted stock awarded on May 14, 2015; not an open market transaction.
- (3) Represents 2,178 shares tendered to the company to satisfy the reporting person's tax withholding obligation upon the vesting on May 14, 2018 of restricted stock awarded on December 10, 2015; not an open market transaction.

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- (4) Following all the transactions reported on this Form 4, the reporting person holds 106,755 shares directly.
- (5) Following all the transactions reported on this Form 4, the reporting person holds 2,513 shares indirectly through the AMSC 401(k) plan as of May 15, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.