

Rogers Ronald G.  
Form 4  
March 06, 2018

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Rogers Ronald G.

2. Issuer Name and Ticker or Trading Symbol  
Dr Pepper Snapple Group, Inc.  
[DPS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
872 WHITTIER CRESCENT  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/02/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

MISSISSAUGA  
ONTARIO, A6 L5H2X3

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	03/02/2018		M <sup>(1)</sup>	1,641 A \$ 0	1,641	D	
Common Stock	03/02/2018		J <sup>(2)</sup>	121 A \$ 0	1,762	D	
Common Stock	03/02/2018		J <sup>(3)</sup>	1,762 D \$ 0	0 <sup>(4)</sup>	D	
Common Stock	03/02/2018		J <sup>(3)</sup>	1,762 A \$ 0	16,232 <sup>(4)</sup>	I	Kent -He Winery and Vineyards Ltd.



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- (4) Kent-He Winery and Vineyards, Ltd., an Ontario corporation (the "Corporation"), beneficially owns 16,232 shares and the reporting person is the controlling stockholder of the Corporation and has a pecuniary interest in the Corporation's holdings of the Issuer's securities.
- (5) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock and is granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009.
- (6) These restricted stock units vest in one installment on March 4, 2021 and will be settled in shares of the Issuer's Common Stock on the vesting date.
- (7) These restricted stock units vest in one installment three years from the date of grant and will be settled in shares of the Issuer's Common Stock on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.