Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

Dr Pepper Snapple Group, Inc. Form 4 March 13, 2017

March 13, 2	017										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION						OMB APPROVAL					
	UNITED) STATES		RITIES A shington			ANGE C	OMMISSION	OMB Number:	3235-0287	
Check th if no lon subject t Section Form 4 d	ger STATE 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								January 31, 2005 verage 's per 0.5	
Form 5 obligation may con <i>See</i> Instru- 1(b).	tinue. Section 17	(a) of the l	Public U	tility Hol	ding Co	mpar	-	e Act of 1934, 1935 or Section 0	response	0.0	
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Thomas David			Symbol					5. Relationship of Reporting Person(s) to Issuer			
			Dr Pepper Snapple Group, Inc. [DPS]					(Check all applicable)			
(Mont			(Month/I					Director 10% Owner X Officer (give title Other (specify below) below) Executive Vice President			
				Ionth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
PLANO, T	X 75024							Person	ore than One Rej	porting	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	e Secu	rities Acqu	iired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8) Code V		sed of 4 and (A) or		 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/10/2017			М	6,206	A	\$ 51.68	47,901	D		
Common Stock	03/10/2017			S	6,206	D	\$ 94.5363 (1)	41,695	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 51.68	03/10/2017		М	6,206	(2)	03/03/2024	Common Stock	6,206

Reporting Owners

Reporting Owner Name / Address	Relationships						
1.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0	Director	10% Owner	Officer	Other			
Thomas David 5301 LEGACY DR. PLANO, TX 75024			Executive Vice President				
Signatures							
Wayna D. Lawia attamay							

Wayne R. Lewis, attorney03/13/2017in fact03/13/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is \$94.46-\$94.85.(1) The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (2) This option was granted pursuant to Issuer's Omnibus Stock Incentive Plan of 2009 and is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

8 L