Dr Pepper Snapple Group, Inc.

Form 4

March 06, 2017

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person *YOUNG LARRY D			Symbol		Ticker or Trading e Group, Inc.	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) 5301 LEGA	(First) ACY DRIVE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/02/2017			X Officer (giv below)	te title Oth below) esident & CEO	
(Street) PLANO, TX 75024			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
(City)	(State)	(Zip)	Tabl	le I - Non-D	erivative Securities Acq	Person uired, Disposed o	of, or Beneficia	lly Owned
1.Title of Security	2. Transaction (Month/Day/Y		emed on Date, if	3. Transactio	4. Securities Acquired on(A) or Disposed of	5. Amount of Securities	6. Ownership Form: Direct	

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		· · ·
Common Stock	03/03/2017		M <u>(1)</u>	46,439	A	\$0	255,970	D	
Common Stock	03/03/2017		J(2)	3,507	A	\$ 0	259,477	D	
Common Stock	03/03/2017		F(3)	20,306	D	\$0	239,171	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Acquired (A Disposed o (Instr. 3, 4,	Securities A) or f (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
	j			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An Nu Sha
Employee Stock Option (Right to Buy)	\$ 94.62	03/02/2017		A	120,616		<u>(4)</u>	03/02/2027	Common Stock	12
Restricted Stock Unit	\$ 0 (5)	03/02/2017		A	19,023		<u>(6)</u>	<u>(6)</u>	Common Stock	1
Restricted Stock Units	\$ 0	03/03/2017		M(1)		46,439	<u>(7)</u>	<u>(7)</u>	Common Stock	4

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
r	Director	10% Owner	Officer	Other			
YOUNG LARRY D 5301 LEGACY DRIVE	X		President & CEO				
PLANO, TX 75024							

# **Signatures**

Wayne R. Lewis, attorney in fact 03/06/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent restricted stock units granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 that vested on March 3, 2017.
- (2) These shares are restricted stock units (acquired with dividend equivalent payments made under the Issuer's dividend reinvestment plan with respect to the shares referenced in footnote (1) above) that vested on March 3, 2017.
- (3) Represents shares withheld by Issuer to satisfy tax withholding obligations in connection with the vesting of restricted stock units referenced in footnotes (1) and (2) above.

**(4)** 

Reporting Owners 2

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This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 2, 2018.

- (5) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock and is granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009.
- (6) These restricted stock units vest in one installment on March 4, 2020 and will be settled in shares of the Issuer's Common Stock on the vesting date.
- (7) These restricted stock units vest in one installment three years from the date of grant and will be settled in shares of the Issuer's Common Stock on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.