Dr Pepper Snapple Group, Inc.

Form 4

March 06, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

of

(Instr. 4)

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

Common

Common

Stock

Stock

03/03/2017

03/03/2017

(Print or Type Responses)

1. Name and Address of Reporting Person ** ROCHE JOYCE M			2. Issuer Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer			
			Dr Pepp [DPS]	er Snappl	e Group, Inc.	(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of	Earliest Tra	nsaction	X Director 10% Ow Officer (give title Other (sp				
5301 LEGACY DR.			(Month/Day/Year) 03/03/2017			below)	below)	er (specify		
3301 LLG/	ici bi.		03/03/20	)1 /						
(Street)			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
			Filed(Mon	Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
PLANO, TX 75024										
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
1.Title of	2. Transaction D	ate 2A. D	eemed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature o		
Security	(Month/Day/Ye	ar) Execu	tion Date, if	Transactio	onAcquired (A) or	Securities	Form: Direct	Indirect		
(Instr. 3)		any		Code	Disposed of (D)	Beneficially	(D) or	Beneficial		
		(Mont	h/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership		

Code V Amount

2,321

175

 $\mathbf{M}^{(1)}$ 

**J**(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Following

Reported

12,086

12,261

Transaction(s)

(Instr. 3 and 4)

(A)

or

(D)

A

A

Price

\$0

\$0

(Instr. 4)

D

D

#### Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	tiomf Derivative Expiration Date Underlying Securities (Month/Day/Year) (Instr. 3 and		7. Title and A Underlying S (Instr. 3 and	Securities	8. Pr Deri Secu (Inst	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	\$ 0 (3)	03/03/2017		<b>M</b> (1)	2,321	<u>(3)</u>	(3)	Common Stock	2,321	9

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	Officer	Other			
ROCHE JOYCE M 5301 LEGACY DR. PLANO, TX 75024	X						

# **Signatures**

Wayne R. Lewis, attorney o3/06/2017 in fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent restricted stock units granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 that vested on March 3, 2017.
- (2) These shares are restricted stock units (acquired with dividend equivalent payments made under the Issuer's dividend reinvestment plan with respect to the shares referenced in footnote (1) above) that vested on March 3, 2017.
- (3) Restricted stock units vest in one installment three years from the date of grant and will be settled in shares of the Issuer's Common Stock on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2