### Edgar Filing: HOPE BANCORP INC - Form 3/A

#### HOPE BANCORP INC

Form 3/A

September 20, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement HOPE BANCORP INC [HOPE] Song David Lee (Month/Day/Year) 08/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3200 WILSHIRE 08/11/2016 (Check all applicable) BLVD., SUITE 1400 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting EVP, Chief Bus. Banking Offcr Person LOS ANGELES, Â CAÂ 90010 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common stock 6,609 (1) D Common stock (Restricted stock)  $3,353 \stackrel{(2)}{=}$ D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	<ol><li>Nature of Indirect</li></ol>
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr 4)	Price of	Derivative	

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Date **Expiration Title** Exercisable Date

Amount or Derivative Number of Security Shares

Security: Direct (D) or Indirect (I)

(Instr. 5)

## **Reporting Owners**

Relationships Reporting Owner Name / Address

Â

Director 10% Owner Officer Other

Song David Lee

3200 WILSHIRE BLVD. **SUITE 1400** 

A EVP, Chief Bus. Banking Offer

LOS ANGELES, CAÂ 90010

# **Signatures**

Claire Hur as attorney-in-fact for David Lee Song

09/20/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Amended from previously filed Form 3, which did not reflect the number of shares as converted from Wilshire Bancorp, Inc. and assumed by BBCN Bancorp, Inc. using the exchange ratio of 0.7034 per share as provided by the Merger Agreement between Wilshire Bancorp, Inc. and BBCN Bancorp, Inc. (now Hope Bancorp, Inc.) (the "Merger Agreement"). Received in exchange for 9,398 shares of Wilshire Bancorp, Inc. common stock at the exchange ratio of 0.7034 per share as provided by the Merger Agreement.
  - Amended from previously filed Form 3, which did not reflect the number of shares as converted from Wilshire Bancorp, Inc. and assumed by BBCN Bancorp, Inc. using the exchange ratio of 0.7034 per share as provided by the Merger Agreement. Restricted stock
- (2) granted on March 31, 2014, April 29, 2015 and April 27, 2016 under the Wilshire Bancorp, Inc. 2008 Stock Incentive Plan (the "Plan"), including dividends issued to date. Restricted stock granted under the Plan vests annually in four equal installments over three years, with 25% vesting on the grant date and the remainder vesting on the anniversary of the grant date annually thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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