Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

Dr Pepper Snapple Group, Inc. Form 4 March 04, 2016

March 04, 2	2016									
FOR	Л Д								PPROVAL	
	UNITED	STATES		RITIES A shington	N OMB Number:	3235-0287				
if no lo	this box							Expires:	January 31, 2005	
subject Section Form 4	to STATEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								
Form 5 obligati may co <i>See</i> Inst 1(b).	ions Section 17	(a) of the l	Public U	Itility Hol	ding Cor		nge Act of 1934, of 1935 or Secti 940			
(Print or Type	e Responses)									
1. Name and Address of Reporting Person <u>*</u> Baldwin James L. JR			Symbol	er Name an		Ū.	5. Relationship of Reporting Person(s) to Issuer			
			Dr Pepper Snapple Group, Inc. [DPS]				(Check all applicable)			
(Last) (First) (Middle) 5301 LEGACY DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 03/02/2016			Director 10% Owner X_ Officer (give title Other (specify below) Executive Vice President				
	4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person						
PLANO, 7	TX 75024						Form filed by Person	More than One R	eporting	
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	any		ed Date, if ay/Year)	3. Transactio Code (Instr. 8)	ransactionAcquired (A) or ode Disposed of (D) nstr. 8) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(A)or(D) Price	Transaction(s) (Instr. 3 and 4)			
Reminder: Re	eport on a separate line	e for each cl	ass of sec	urities bene	-	-	-			
							pond to the colle		SEC 1474	

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8		Acquired or Dispose (D) (Instr. 3, 4 and 5)	ed of				
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 91.98	03/02/2016		A		20,148		<u>(1)</u>	03/02/2026	Common Stock	20,148
Restricted Stock Unit	\$ 0 <u>(2)</u>	03/02/2016		А		3,261		<u>(3)</u>	(3)	Common Stock	3,261

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
Baldwin James L. JR 5301 LEGACY DRIVE PLANO, TX 75024			Executive Vice President					
Signatures								

Wayne R. Lewis, attorney 03/04/2016 in fact

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 2, 2017.
- (2) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock and is granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009.
- (3) Restricted stock units vest in one installment three years from the date of grant and will be settled in shares of the Issuer's Common Stock on the settlement date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.