

PPL Corp  
 Form 3  
 January 05, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL  
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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |  |   |   |  |   |
|--|--|---|---|--|---|
| 1. Name and Address of Reporting Person *<br>Â Bergstein Joseph P Jr<br>(Last) (First) (Middle)<br><br>TWO NORTH NINTH STREET<br>(Street)<br><br>ALLENTOWN,Â PAÂ 18101<br>(City) (State) (Zip) | 2. Date of Event Requiring Statement<br>(Month/Day/Year)<br>01/01/2016 | 3. Issuer Name and Ticker or Trading Symbol<br>PPL Corp [PPL] | 4. Relationship of Reporting Person(s) to Issuer<br><br>(Check all applicable)<br><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below) (specify below)<br>VP-IR and Treasurer | 5. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person |
|--|--|---|---|--|---|

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5)        |
|------------------------------------|--|---|---|
| Common Stock                       | 1,997.565 <sup>(1)</sup>                                 | D   | Â   |
| Common Stock                       | 249.648 <sup>(1)</sup>                                   | I   | Held in trust pursuant to the Employee Stock Ownership Plan.    |
| Common Stock                       | 40.231 <sup>(1)</sup>                                    | I   | As custodian for children under the Dividend Reinvestment Plan. |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                                  | 4. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|--|
|   | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares |  |  |  |
| Employee Stock Options<br>(Right to Buy)      | Â (2)  | 05/31/2022         | Common<br>Stock  | 2,983                            | \$ 24.75   | D  | Â  |
| Employee Stock Options<br>(Right to Buy)      | Â (3)  | 01/23/2023         | Common<br>Stock  | 20,645                           | \$ 26.59   | D  | Â  |
| Stock Unit (ICPKE)                            | Â (4)  | Â (4)              | Common<br>Stock  | 1,646                            | \$ (5)   | D  | Â  |
| Stock Unit (ICPKE)                            | Â (6)  | Â (6)              | Common<br>Stock  | 2,796                            | \$ (5)   | D  | Â  |
| Stock Unit (ICPKE)                            | Â (7)  | Â (7)              | Common<br>Stock  | 2,377                            | \$ (5)   | D  | Â  |
| Performance Unit<br>(ICPKE)                   | Â (8)  | Â (8)              | Common<br>Stock  | 1,989.252<br>(1)                 | \$ (8)   | D  | Â  |
| Performance Unit<br>(ICPKE)                   | Â (9)  | Â (9)              | Common<br>Stock  | 2,954.172<br>(1)                 | \$ (9)   | D  | Â  |
| Performance Unit<br>(ICPKE)                   | Â (10)   | Â (10)             | Common<br>Stock  | 2,472.736<br>(1)                 | \$ (10)  | D  | Â  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                             |       |
|--|---------------|-----------|-----------------------------|-------|
|  | Director      | 10% Owner | Officer                     | Other |
| Bergstein Joseph P Jr<br>TWO NORTH NINTH STREET<br>ALLENTOWN, PA 18101 | Â             | Â         | Â VP-IR<br>and<br>Treasurer | Â     |

## Signatures

/s/Frederick C. Paine, as Attorney-In-Fact for Joseph P.  
Bergstein Jr.

01/05/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total includes the reinvestment of dividends.
- (2) The total grant of 4,473 options vested in three installments on June 1, 2013, June 1, 2014 and June 1, 2015.
- (3) The total grant of 20,645 options vest in three installments on January 24, 2014, January 24, 2015 and January 24, 2016.

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- (4) The units will vest on March 1, 2016.
- (5) No conversion or exercise price applies. Under the terms of the Incentive Compensation Plan for Key Employees (ICPKE), a restricted stock unit converts to a share of common stock on the applicable vesting date.
- (6) The units will vest on March 1, 2017.
- (7) The units will vest on March 1, 2018.

(8) No conversion or exercise price or exercise or expiration date applies. Under the terms of the Incentive Compensation Plan for Key Employees (ICPKE), all, some or none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending December 31, 2015. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2016.

(9) No conversion or exercise price or exercise or expiration date applies. Under the terms of the Incentive Compensation Plan for Key Employees (ICPKE), all, some or none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending December 31, 2016. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2017.

(10) No conversion or exercise price or exercise or expiration date applies. Under the terms of the Incentive Compensation Plan for Key Employees (ICPKE), all, some or none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending December 31, 2017. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.