

SS&C Technologies Holdings Inc
 Form 4
 December 02, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Kanwar Rahul

2. Issuer Name and Ticker or Trading Symbol
 SS&C Technologies Holdings Inc [SSNC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 80 LAMBERTON ROAD
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 11/30/2015

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
 Senior Vice President

WINDSOR, CT 06095

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 11/30/2015 | | M | | 2,220 | A | \$ 8.77 |
| | | | | | | | 12,220 |
| Common Stock | 11/30/2015 | | S | | 2,220 | D | \$ 72.0094 |
| | | | | | | | <u>(1)</u> |
| Common Stock | 11/30/2015 | | M | | 47,506 | A | \$ 8.77 |
| | | | | | | | 57,506 |
| Common Stock | 11/30/2015 | | S | | 47,506 | D | \$ 72.0094 |
| | | | | | | | <u>(1)</u> |
| | 12/01/2015 | | M | | 10,494 | A | \$ 8.77 |
| | | | | | | | 20,494 |

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| | | | | | | | |
|--------------|------------|---|--------|---|-------------------|--------|---|
| Common Stock | | | | | | | |
| Common Stock | 12/01/2015 | S | 10,494 | D | \$ 72.0507 (2) | 10,000 | D |
| Common Stock | 12/01/2015 | M | 42,500 | A | \$ 14.53 | 52,500 | D |
| Common Stock | 12/01/2015 | S | 27,500 | D | \$ 72.0507 (2) | 25,000 | D |
| Common Stock | 12/01/2015 | M | 67,500 | A | \$ 13.48 | 92,500 | D |
| Common Stock | 12/01/2015 | S | 67,500 | D | \$ 72.0507 (2) | 25,000 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (right to buy) | \$ 8.77 | 11/30/2015 | | M | 2,220 | (3) 08/09/2016 | Common Stock | 2,220 |
| Stock Option (right to buy) | \$ 8.77 | 11/30/2015 | | M | 47,506 | (4) 08/09/2016 | Common Stock | 47,506 |
| Stock Option (right to buy) | \$ 8.77 | 12/01/2015 | | M | 10,494 | (4) 08/09/2016 | Common Stock | 10,494 |

| | | | | | | | | | |
|--------------------------------------|----------|------------|---|--------|------------|------------|-----------------|--------|--|
| buy) | | | | | | | | | |
| Stock Option (right to buy) | \$ 14.53 | 12/01/2015 | M | 42,500 | <u>(5)</u> | 03/23/2020 | Common Stock | 42,500 | |
| Stock Option (right to buy) | \$ 13.48 | 12/01/2015 | M | 67,500 | <u>(6)</u> | 10/03/2021 | Common Stock | 67,500 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Kanwar Rahul 80 LAMBERTON ROAD WINDSOR, CT 06095 | | | Senior Vice President | |

Signatures

| | |
|--|------------|
| Paul G. Igoe, attorney-in-fact for Rahul Kanwar | 12/02/2015 |
| <u> </u> Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price reported is the weighted average of the shares sold. The shares were sold at varying prices in the range of \$72.00 to \$72.06. The reporting person undertakes, upon request by the Staff of the Securities and Exchange Commission, or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.
- (2) The price reported is the weighted average of the shares sold. The shares were sold at varying prices in the range of \$72.00 to \$72.28. The reporting person undertakes, upon request by the Staff of the Securities and Exchange Commission, or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.
- (3) The option is a "performance-based" option that vested in full on December 31, 2011.
- (4) The option is a "time-based" option that vested in full on November 23, 2009.
- (5) The option is a "time-based" option that vested in full on March 23, 2014.
- (6) The option is a "time-based" option that vested in full on October 3, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.