

Artisan Partners Asset Management Inc.

Form 4

March 10, 2015

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ZIEGLER ANDREW A

(Last) (First) (Middle)

C/O ARTISAN PARTNERS ASSET  
MGMT INC., 875 E. WISCONSIN  
AVE, SUITE 800

(Street)

MILWAUKEE, WI 53202

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
Artisan Partners Asset Management  
Inc. [APAM]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/09/2015

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☒ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☐ Form filed by One Reporting Person  
☒ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)                                          | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |
|--------------------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|---|
|                                                                                |                                         |                                                             | Code                                 | V                                                                       | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                   |                                                                   |   |
| Class C<br>Common<br>Stock, par<br>value<br>\$0.01 per<br>share <sup>(1)</sup> | 03/09/2015                              |                                                             | D <sup>(2)</sup>                     |                                                                         | 1,227,525<br><sup>(2)</sup> <sup>(3)</sup>                                                                         | \$ 0                                                                    | 6,955,973                                                         | I |

By Artisan  
Investment  
Corporation  
<sup>(4)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form**

SEC 1474  
(9-02)

**displays a currently valid OMB control number.**

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)           | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|------------------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|
|                                                      |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Class D Common Units of Artisan Partners Holdings LP | (2)                                                    | 03/09/2015                           |                                                    | D(2)                           | 1,227,525<br>(2) (3)                                                                    | (3) (3)                                                  | Class A Common Stock, par value \$0.01 per share 1,227,52     |

## Reporting Owners

| Reporting Owner Name / Address                                                                                     | Relationships |           |         |       |
|--------------------------------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                                                                    | Director      | 10% Owner | Officer | Other |
| ZIEGLER ANDREW A<br>C/O ARTISAN PARTNERS ASSET MGMT INC.<br>875 E. WISCONSIN AVE, SUITE 800<br>MILWAUKEE, WI 53202 | X             | X         |         |       |
| ARTISAN INVESTMENT CORP<br>875 E. WISCONSIN AVENUE, SUITE 800<br>MILWAUKEE, WI 53202                               |               | X         |         |       |
| ZFIC, Inc.<br>875 E. WISCONSIN AVENUE, SUITE 800<br>MILWAUKEE, WI 53202                                            |               | X         |         |       |
| ZIEGLER CARLENE M<br>875 E. WISCONSIN AVENUE, SUITE 800<br>MILWAUKEE, WI 53202                                     |               | X         |         |       |

## Signatures

/s/ Lisa A. Moran, attorney-in-fact for Andrew A. Ziegler 03/10/2015  
 \*\*Signature of Reporting Person Date

/s/ Lisa A. Moran, attorney-in-fact for Artisan Investment Corp 03/10/2015  
 \*\*Signature of Reporting Person Date

Edgar Filing: Artisan Partners Asset Management Inc. - Form 4

/s/ Lisa A. Moran, attorney-in-fact for ZFIC, Inc

03/10/2015

Signature of Reporting Person

Date

/s/ Lisa A. Moran, attorney-in-fact for Carlene M. Ziegler

03/10/2015

Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) In connection with the initial public offering of Artisan Partners Asset Management Inc. (the "Company") and the related reorganization transactions, on March 12, 2013, Artisan Investment Corporation ("AIC") received a number of shares of Class C common stock, par value \$0.01 per share ("Class C Common Stock"), of the Company equal to the number of Class D common units ("Class D Common Units") then held by AIC. Shares of Class C Common Stock have no economic rights.

(2) On March 9, 2015, pursuant to the Partnership Unit Purchase Agreement dated February 19, 2015, by and between the Company and AIC, the Company purchased 1,227,525 Class D Common Units from AIC for \$46.08 per unit and AIC delivered to the Company an equal number of shares of Class C Common Stock for cancellation.

(3) Pursuant to the Exchange Agreement among the Company and each holder of limited partnership units of Artisan Partners Holdings LP (the "Exchange Agreement"), dated March 12, 2013, AIC has the right, pursuant to and subject to the limitations and restrictions set forth in the Exchange Agreement, to exchange its Class D Common Units for an equal number of shares of Class A common stock, par value \$0.01 per share ("Class A Common Stock"), of the Company. Upon any such exchange for Class A Common Stock, the corresponding shares of Class C Common Stock then owned by AIC will be cancelled. The Class D Common Units have no expiration date.

(4) These shares and units, as applicable, are owned directly by AIC. All of the outstanding capital stock of AIC is owned directly by ZFIC, Inc. The reporting person and his spouse own all of the voting shares of ZFIC, Inc. The nonvoting shares of ZFIC, Inc. are owned by trusts, the sole beneficiaries of which are immediate family members of the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.