Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

Dr Pepper Snapple Group, Inc. Form 4 March 04, 2015

Common

Common

Common

Stock

Stock

Stock

03/02/2015

03/04/2015

03/04/2015

March 04, 2	2015										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES										3235-0287 January 31, 2005 verage	
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> YOUNG LARRY D			2. Issuer Name and Ticker or Trading Symbol Dr Pepper Snapple Group, Inc. [DPS]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 5301 LEGACY DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 03/02/2015				-	_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) President & CEO			
				Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tak	da I Nan	Dorivotivo	Zaanni		red, Disposed of,	or Donoficially	v Ownad	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	-	ed Date, if	3. Transacti Code (Instr. 8)	4. Securitie orDisposed o (Instr. 3, 4 a	s Acqu f (D) and 5) (A) or	uired (A) o	r 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/02/2015			Code V J(1)	Amount 81,428	(D) A	Price \$ 0	281,428	D		
Common Stock	03/02/2015			J <u>(2)</u>	7,752	A	\$0	289,180	D		

37,412

61,985

57,803

(3)

F

Μ

Μ

\$0

\$ 37.8

\$ 43.82

D

А

А

251,768

313,753

371,556

D

D

D

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Common Stock	03/04/2015	М	68,966	А	\$ 51.68	440,522	D
Common Stock	03/04/2015	S	188,754	D	\$ 77.9971 (4)	251,768	D
Common Stock	03/04/2015	S	51,768	D	\$ 77.9966 (5)	200,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Securities Code Acquired (A) or (Instr. 8) Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amor Underlying Secur (Instr. 3 and 4)		
	j			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nu Sha
Restricted Stock Unit	\$ 0 <u>(6)</u>	03/02/2015		J <u>(1)</u>	(11)	81,428	<u>(1)</u>	(7)	Common Stock	8
Employee Stock Option (Right to Buy)	\$ 79.2	03/02/2015		A	130,151		(8)	03/02/2025	Common Stock	13
Restricted Stock Unit	\$ 0 <u>(6)</u>	03/02/2015		А	22,727		(7)	(7)	Common Stock	22
Employee Stock Option (Right to Buy)	\$ 37.8	03/04/2015		М		61,985	<u>(9)</u>	03/02/2022	Common Stock	6
Employee Stock Option (Right to Buy)	\$ 43.82	03/04/2015		М		57,803	<u>(10)</u>	03/04/2023	Common Stock	51
Employee Stock	\$ 51.68	03/04/2015		М		68,966	(11)	03/03/2024	Common Stock	68

Option (Right to Buy)

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
YOUNG LARRY D 5301 LEGACY DRIVE PLANO, TX 75024	Х		President & CEO					
Signatures								
Wayne R. Lewis, attorney in fact	0.	3/04/2015						

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent restricted stock units granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 that vested on March 2, 2015.
- (2) These shares are restricted stock units (acquired with dividend equivalent payments made under the Issuer's dividend reinvestment plan with respect to the shares referenced in footnote (1) above) that vested on March 2, 2015.
- (3) Represents shares withheld by Issuer to satisfy tax withholding obligations in connection with the vesting of restricted stock units referenced in footnotes (1) and (2) above.
- The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is
 (4) \$77.66-\$78.87. The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.

The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is
 (5) \$77.66-\$78.78. The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.

- (6) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock and is granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009.
- (7) Restricted stock units vest in one installment three years from the date of grant and will be settled in shares of the Issuer's Common Stock on the vesting date.
- (8) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 2, 2016.
- (9) This option was granted pursuant to Issuer's Omnibus Stock Incentive Plan of 2009 and is fully vested.
- (10) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 4, 2014.
- (11) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 3, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.