Dr Pepper Snapple Group, Inc.

Form 4

March 05, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading YOUNG LARRY D Issuer Symbol Dr Pepper Snapple Group, Inc. (Check all applicable) [DPS] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_ Director 10% Owner X\_ Officer (give title \_ Other (specify (Month/Day/Year) below) 5301 LEGACY DRIVE 03/03/2014 President & CEO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting PLANO, TX 75024 Person

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative	Secur	ities Acquire	ed, Disposed of, o	or Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities on Disposed of (Instr. 3, 4)	f (D)	uired (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/03/2014		$J_{(1)}^{(1)}$	84,124	A	\$ 0	334,124	D	
Common Stock	03/03/2014		J(2)	8,409	A	\$ 0	342,533	D	
Common Stock	03/03/2014		F(3)	38,818	D	\$ 0	303,715	D	
Common Stock	03/03/2014		M	66,007	A	\$ 36.42	369,722	D	
Common Stock	03/03/2014		M	61,985	A	\$ 37.8	431,707	D	

**OMB APPROVAL** 

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January 31,

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#### Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

Common Stock S 127,992 D 51.7589 303,715 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative States Acquired (A Disposed of (Instr. 3, 4,	Securities A) or f (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
	,			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An Nu Sha
Restricted Stock Unit	\$ 0 (5)	03/03/2014		J <u>(1)</u>	` /	84,124	<u>(1)</u>	<u>(6)</u>	Common Stock	84
Employee Stock Option (Right to Buy)	\$ 51.68	03/03/2014		A	206,896		<u>(7)</u>	03/03/2024	Common Stock	20
Restricted Stock Unit	\$ 0 (5)	03/03/2014		A	46,439		<u>(6)</u>	<u>(6)</u>	Common Stock	40
Employee Stock Option (Right to Buy)	\$ 36.42	03/03/2014		M		66,007	<u>(8)</u>	03/02/2021	Common Stock	60
Employee Stock Option (Right to Buy)	\$ 37.8	03/03/2014		M		61,985	(9)	03/02/2022	Common Stock	6

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
	X		President & CEO				

Reporting Owners 2

YOUNG LARRY D 5301 LEGACY DRIVE PLANO, TX 75024

#### **Signatures**

Wayne R. Lewis, attorney in fact

03/04/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent restricted stock units granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 that vested on March 2, 2014 and settled on March 3, 2014.
- (2) These shares are restricted stock units (acquired with dividend equivalent payments made under the Issuer's dividend reinvestment plan with respect to the shares referenced in footnote (1) above) that vested on March 2, 2014 and settled on March 3, 2014.
- (3) Represents shares withheld by Issuer to satisfy tax withholding obligations in connection with the vesting of restricted stock units referenced in footnotes (1) and (2) above.
  - The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is \$51.50-\$51.86.
- (4) The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (5) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock and is granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009.
- (6) Restricted stock units vest in one installment three years from the date of grant and will be settled in shares of the Issuer's Common Stock on the settlement date.
- (7) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 3, 2015.
- (8) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and is fully vested.
- (9) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal installments on each anniversary date of the grant commencing on March 2, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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