Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

Dr Pepper Snapple Group, Inc. Form 4 February 13, 2014

Common

Stock

02/13/2014

February 13	, 2014										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549						OMMISSION	OMB AP OMB Number:	PROVAL 3235-0287			
Check th if no lon subject t Section Form 4 of Form 5 obligatio may con <i>See</i> Instr 1(b).	ger o 16. or Filed pur ons tinue.	x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: 2005 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Expires: 2005 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 0.5 0.5 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 0.5									
(Print or Type	Responses)										
1. Name and A ELLEN MA	2. Issuer Name and Ticker or Trading Symbol Dr Pepper Snapple Group, Inc. [DPS]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) 5301 LEGACY DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 02/13/2014					Director 10% Owner X Officer (give title Other (specify below) below) Executive Vice President & CFO			
	4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
PLANO, T								Person		Jording	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	Code (Instr. 8)	oror Dispose (Instr. 3, 4	ed of (and 5 (A) or	j)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/13/2014			Code V M	Amount 5,764	(D) A	Price \$ 35.48	5,764	D		
Common Stock	02/13/2014			М	15,681	А	\$ 35.48	21,445	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form

D

49.9409 3,000 (2)

\$

(1)

18,445 D

Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Derivative Expiration Date (Month/Day/Year) Acquired (A) or Disposed of D) Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 35.48	02/13/2014		М	5,764	<u>(3)</u>	04/01/2020	Common Stock	5,764
Employee Stock Option (Right to Buy)	\$ 35.48	02/13/2014		М	15,681	(4)	04/01/2020	Common Stock	15,681

Reporting Owners

Reporting Owner Name / Address	Relationships						
L B	Director	10% Owner	Officer	Other			
ELLEN MARTIN M 5301 LEGACY DRIVE PLANO, TX 75024			Executive Vice President & CFO				
Signatures							

Wayne R. Lewis, attorney 02/13/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is \$49.85-\$50.00.(1) The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (2) 52,000 shares are beneficially owned by Martin Robin Partners, L.P., in which the reporting person has a pecuniary interest.

Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

(3) This option was granted on April 1, 2010 pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in five annual installments on each anniversary date of the award commencing on April 1, 2011.

(4) This option was granted on April 1, 2010 pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.