### Edgar Filing: COMMVAULT SYSTEMS INC - Form 4

| COMMVAU<br>Form 4<br>October 16, 2   | ULT SYSTEMS II<br>2013  | NC  |  |                          |                 |                |   |   |   |          |  |
|--|---|---|--|--------------------------|-----------------|----------------|---|---|---|----------|--|
| FORM   | STATES  | S SECURITIES AND EXCHANGE C<br>Washington, D.C. 20549             |  |                          |                 |                | OMMISSION   | OMB AF<br>OMB<br>Number:  | PPROVAL<br>3235-0287  |          |  |
| Check thi<br>if no long<br>subject to<br>Section 14<br>Form 5<br>obligation<br>may conti<br><i>See</i> Instru<br>1(b). | s box<br>s box<br>s <b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b><br>S <b>SECURITIES</b><br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>a 20(b) of the Investment Company Act of 1940 |   |  |                          |                 |                |   |   | Expires:January 31,<br>2005Estimated average<br>burden hours per<br>response0.5 |          |  |
| (Print or Type R   | Responses)  |   |  |                          |                 |                |   |   |   |          |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Merrill Gary   |   |   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>COMMVAULT SYSTEMS INC<br>[CVLT] |                          |                 |                |   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable) |   |          |  |
| (Last) 2 CRESCEN   |   | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>10/14/2013 |  |                          |                 |                | Director 10% Owner<br>X_Officer (give title Other (specify<br>below)<br>Chief Accounting Officer        |   |   |          |  |
|  |   | 4. If Amendment, Date Original<br>Filed(Month/Day/Year)           |  |                          |                 |                | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |   |   |          |  |
| OCEANPOI   | RT, NJ 07757  |   |  |                          |                 |                |   | Form filed by M<br>Person   | lore than One Re  | porting  |  |
| (City)   | (State)   | (Zip)   | Table  | e I - Non-E              | Derivative      | Secur          | ities Acq   | uired, Disposed of  | , or Beneficial   | ly Owned |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year)   | 2A. Deem<br>Execution<br>any<br>(Month/D                          | Date, if   | Code (Instr. 3, 4 and 5) |                 |                |   | Securities<br>Beneficially<br>Owned<br>Following<br>Reported                  | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)            |          |  |
| Common   | 10/14/2013  | 10/14/20  | )13  | Code V<br>A              | Amount<br>7,522 | or<br>(D)<br>A | Price<br>(2)  | Transaction(s)<br>(Instr. 3 and 4)<br>18,226                                  | D   |          |  |
| Stock (1)<br>Common<br>Stock (3)   | 10/15/2013  | 10/15/20  | 013  | F                        | 698             | D              | \$<br>82.75<br>(4)  | 17,528  | D   |          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Options<br>to<br>Purchase<br>Common<br>Stock        | \$ 87.2   | 10/14/2013                              | 10/14/2013  | A                                      | 22,566   | <u>(5)</u>   | 10/14/2023         | Common<br>Stock   | 22,566                              |

## **Reporting Owners**

| Reporting Owner Name / Address                          |          |           | Relationships            |       |  |  |
|---|----------|-----------|--------------------------|-------|--|--|
|   | Director | 10% Owner | Officer                  | Other |  |  |
| Merrill Gary<br>2 CRESCENT PLACE<br>OCEANPORT, NJ 07757 |          |           | Chief Accounting Officer |       |  |  |
| Signatures  |          |           |                          |       |  |  |
| Warren H. Mondschein,<br>Attorney-in-Fact               |          | 10/16     | /2013                    |       |  |  |

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The common stock is being granted as restricted stock units and are subject to a 4-year vesting schedule, as follows: 25% on the first anniversary of the grant with the remaining portion vesting in equal quarterly installments thereafter.

(2) Not applicable.

(3) This sale of common stock was made to satisfy certain tax withholding obligations resulting from the vesting of restricted stock units.

(4) Represents average sale price.

(5) The options to purchase common stock are subject to a 4-year vesting schedule, as follows: 25% on the first anniversary of the grant with the remaining options vesting in equal quarterly installments thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.