ELLEN MARTIN M

Form 4 April 05, 2010

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average burden hours per

**OMB APPROVAL** 

3235-0287

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Number:

Form 4 or Form 5 obligations may continue.

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

ELLEN MARTIN M

2. Issuer Name and Ticker or Trading

Symbol

Dr Pepper Snapple Group, Inc.

[DPS]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 04/01/2010

5301 LEGACY DRIVE

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below) below)

**Executive Vice President** 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

PLANO, TX 75024

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year) (Instr. 8)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (T) (Instr. 4)

Ownership (Instr. 4)

(A)

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) Disposed of ( (Instr. 3, 4, ar 5)	(D)			
				Code V	(A) (	(D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	(1)	04/01/2010		A	73,985	(2)	(2)	Common Stock	73,985
Restricted Stock Unit	(1)	04/01/2010		A	25,894	<u>(3)</u>	(3)	Common Stock	25,894
Employee Stock Option (Right to Buy)	\$ 35.48	04/01/2010		A	134,408	<u>(4)</u>	<u>(4)</u>	Common Stock	134,408
Employee Stock Option (Right to Buy)	\$ 35.48	04/01/2010		A	47,043	<u>(5)</u>	(5)	Common Stock	47,043

# **Reporting Owners**

Reporting Owner Name / Address			Relationships	
	Director	10% Owner	Officer	Other

ELLEN MARTIN M 5301 LEGACY DRIVE PLANO, TX 75024

**Executive Vice President** 

### **Signatures**

Wayne R. Lewis, attorney in fact

04/05/2010

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock and is granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009.
- (2) The restricted stock units will vest in five equal annual installments on each anniverary date of the award, commencing on April 1, 2011 and will be settled in shares of the Issuer's Common Stock on the vesting date, subject to certain accelerated vesting rights.
- (3) The restricted stock units will vest in one installment three years from the date of grant and will be settled in shares of the Issuer's Common Stock on the vesting date.
- (4) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in five equal annual installments on each anniversary date of the award, commencing on April 1, 2011, subject to certain accelerated vesting rights.

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(5) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the award, commencing on April 1, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.