Gutierrez Mauricio Form 4 March 05, 2008

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* Gutierrez Mauricio

(First)

(Street)

(State)

(Zip)

5. Relationship of Reporting Person(s) to Issuer

NRG ENERGY, INC. [NRG]

2. Issuer Name and Ticker or Trading

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner

(Check all applicable)

Sr VP, Commercial Operations

NRG ENERGY, INC., 211 CARNEGIE CENTER

03/03/2008

Symbol

X\_ Officer (give title Other (specify below) below)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PRINCETON, NJ 08540

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4) Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4)

(A) or Code V Amount (D)

Transaction(s) (Instr. 3 and 4) Price

Common

per share

Stock, par 03/03/2008 value .01

2.100Α

16,368

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying (Instr. 3 and
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Options	\$ 41.63	03/03/2008		A	21,500	03/03/2009(3)	03/03/2014(4)	Common Stock, par value \$.01 per share
Performance Units	<u>(5)</u>	03/03/2008		A	8,400	03/03/2011(5)	03/03/2018	Common stock, par value \$.01 per share

## **Reporting Owners**

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

Gutierrez Mauricio NRG ENERGY, INC. 211 CARNEGIE CENTER PRINCETON, NJ 08540

Sr VP, Commercial Operations

### **Signatures**

/s/Mauricio

Gutierrez 03/05/2008

\*\*Signature of Person Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted Stock Units issued to Mr. Gutierrez by NRG Energy, Inc. under NRG Energy, Inc.'s Long Term Incentive Plan.
- (2) Each Restricted Stock Unit is equivalent in value to one share of NRG Energy, Inc.'s Common Stock, par value \$0.01. Mr. Gutierrez will receive from NRG Energy, Inc. one such share of Common Stock for each Restricted Stock Unit on March 3, 2011.
- (3) Pursuant to the Grant Agreement by and between NRG Energy, Inc. and Mr. Gutierrez, Stock Options will vest and become exercisable as follows: 33 1/3% on March 3, 2009, 33 1/3% on March 3, 2010 and 33 1/3% on March 3, 2011.

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- (4) Stock Options expire six years from the date of grant.
  - Mr. Gutierrez was issued 4,200 Performance Units by NRG Energy, Inc. under NRG Energy, Inc.'s Long Term Incentive Plan on March 3, 2008. Each Performance Unit will be paid out on March 3, 2011 if the average closing price of NRG Energy, Inc.'s Common Stock for the ten trading days prior to January 2, 2011(the "Measurement Price") is equal to or greater than \$58.49 (the "Target Price"). The payout
- (5) for each Performance Unit will be equal to: (i) one share of Common Stock, if the Measurement Price equals the Target Price; (ii) a prorated amount in between one and two shares of Common Stock, if the Measurement Price is greater than the Target Price but less than \$68.40 (the "Maximum Price"); and (iii) two shares of Common Stock, if the Measurement Price is equal to or greater than the Maximum Price

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.