

NOMURA HOLDINGS INC
Form 6-K
July 08, 2016
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

**Pursuant to Rule 13a-16 or 15d-16 of
the Securities Exchange Act of 1934**

Commission File Number: 1-15270

For the month of July 2016

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from June 1, 2016 to June 30, 2016

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: July 8, 2016

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents

[Translation of Share Buyback Report for the reporting month from June 1, 2016 to June 30, 2016 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on July 8, 2016]

Class of Shares: Common Stock**1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

	Number of Shares	as of June 30, 2016 Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 27, 2016)		
(Period of repurchase: from May 18, 2016 to July 22, 2016)	35,000,000	20,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
	June 1 2,301,700	1,100,353,990
	June 2 2,301,700	1,053,743,280
	June 3 2,301,700	1,044,531,290
	June 6 2,145,400	943,879,390
	June 7 711,600	315,894,930
Total	9,762,100	4,458,402,880
Aggregate shares repurchased as of the end of this reporting month	35,000,000	16,324,582,570
Progress of share repurchase (%)	100.0	81.6

Table of Contents**2. Status of disposition**

		Number of shares disposed in the reporting month	as of June 30, 2016 Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares	(Date)		
Subtotal			
Treasury shares canceled	(Date)		
Subtotal			
Treasury shares transferred upon merger, share exchange or demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)		
	June 21	70	28,455
Subtotal		70	28,455
Other (exercise of stock acquisition rights)	(Date)	58,000	58,000
	June 1	175,700	771,700
	June 2	86,700	86,700
	June 3	36,000	36,000
	June 6	56,600	56,600
	June 7	66,900	66,900
	June 8	49,800	49,800
	June 9	51,800	51,800
	June 10	45,000	45,000
	June 13	31,500	31,500
	June 14	158,000	158,000
	June 15	11,400	904,400
	June 16	33,400	33,400
	June 17	109,900	109,900
	June 20	97,600	97,600

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

	June 21	27,800	27,800
	June 22	259,400	259,400
	June 23	295,800	295,800
	June 24	88,600	88,600
	June 27	41,500	41,500
	June 29		
Subtotal		1,781,400	3,270,400
Total		1,781,470	3,298,855

3. Status of shares held in treasury

As of the end of the reporting month	as of June 30, 2016
	Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	234,052,872