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BELLICUM PHARMACEUTICALS, INC Form 8-K March 09, 2015

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

March 6, 2015

**Date of Report (Date of earliest event reported)** 

Bellicum Pharmaceuticals, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

**001-36783** (Commission

**20-1450200** (IRS Employer

of incorporation) File Number)

**Identification No.)** 

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2130 W. Holcombe Blvd., Ste. 800

Houston, TX 77030
(Address of principal executive offices) (Zip Code)
Registrant s telephone number, including area code: (832) 384-1100

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligations of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

# Item 5.02 Departure of Directors or Principal Officers; Election of Directors; Appointment of Principal Officers; Compensatory Arrangements of Certain Officers.

### (e) Compensatory Arrangements of Named Executive Officers

2015 Salary and Target Bonus Information for Certain Executive Officers

On March 6, 2015, the Compensation Committee (the Committee ) of the Board of Directors (the Board ) of Bellicum Pharmaceuticals, Inc. (the Company ) approved (i) annual base salaries for fiscal year 2015, and (ii) target performance bonuses for fiscal year 2015, for certain of the Company s executive officers as set forth below.

The following table sets forth the amounts approved by the Committee for certain of the Company s executive officers with respect to annual base salaries and target performance bonuses for fiscal year 2015:

Executive Officer	2015 Base Salary	2015 Target Bonus Percentage
Annemarie Moseley, Ph.D., M.D.  Chief Operating Officer and	\$ 410,000	40%
Executive Vice President of Clinical Development		
Kevin M. Slawin, M.D. Chief Technology Officer	\$ 320,000	35%

The base salaries for fiscal year 2015 for Drs. Moseley and Slawin are effective as of January 1, 2015. The target performance bonus amounts for fiscal year 2015 are expressed as a percentage of the corresponding 2015 base salary.

Equity Award Information for Certain Executive Officers

On March 6, 2015, the Committee approved stock option grants under the Company s 2014 Equity Incentive Plan to certain of the Company s executive officers, as follows:

Executive Officer	<b>Option Awards</b>
Annemarie Moseley, Ph.D., M.D.	100,000
Chief Operating Officer and	
Executive Vice President of Clinical Development	
Kevin M. Slawin, M.D.	60,000
Chief Technology Officer	

The above stock options have an exercise price equal to \$24.48, the closing price of the Company s common stock on the grant date, and vest over a four-year period. The stock options entitle the holder, following vesting of the stock option, to acquire the underlying number of shares by paying the exercise price for such shares. The stock options will terminate after 10 years, or earlier if the executive officer ceases to provide services to the Company.

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: March 6, 2015

## **Bellicum Pharmaceuticals, Inc.**

By: /s/ Thomas J. Farrell Thomas J. Farrell

President and Chief Executive Officer