### Edgar Filing: PACHOLEC FRANK - Form 4

Form 4	FRANK										
December 2	6, 2012										
FORM	14								PPROVAL		
	UNITEL	) STATES		LITIES A hington,			IGE (	COMMISSION	OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 c	ger o <b>STATE</b> 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires:January 31 2009Estimated averageburden hours per response0.5	
Form 5 obligatio may con <i>See</i> Instr 1(b).	tinue. Section 17	(a) of the	Public Ut		ling Com	pany	Act of	e Act of 1934, f 1935 or Section 40	n		
(Print or Type ]	Responses)										
1. Name and Address of Reporting Person <u>*</u> PACHOLEC FRANK			2. Issuer Name <b>and</b> Ticker or Trading Symbol STEPAN CO [SCL]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Chec					k all applicable)			
(Street) (Street) (Street)			<ul> <li>(Month/Day/Year)</li> <li>12/21/2012</li> <li>4. If Amendment, Date Original Filed(Month/Day/Year)</li> </ul>					Director 10% Owner X Officer (give title Other (specify below) Vice President R&D 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Execution any	med on Date, if Day/Year)	3. Transactic Code (Instr. 8)	4. Securiti on(A) or Dis (D) (Instr. 3, 4	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	12/21/2012			С	$\frac{28.286}{(1)}$	А	\$0	1,368.478 <u>(1)</u>	Ι	By ESOP II Trust	
Common Stock								52,833.176	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Perivative Expiration Date ecurities (Month/Day/Year) acquired (A) r Disposed of D) finstr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Convertible Preferred Stock	<u>(1)</u>	12/21/2012		С		12.387	(1)	<u>(1)</u>	Common Stock	28.286	

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
PACHOLEC FRANK 22 W. FRONTAGE ROAD NORTHFIELD, IL 60093			Vice President R&D				
Signatures							
Kathleen O. Sherlock, Attorney-in-fact		12/26/20	)12				
**Signature of Reporting Person		Date					

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On November 30, 2012, the common stock of Stepan Company split 2-for-1, resulting in each share of convertible preferred stock being convertible at any time into 2.2835 shares of common stock. The shares of convertible preferred stock had no expiration date. Effective

- (1) December 21, 2012, the Plan Committee of Stepan Company, on behalf of all ESOP II participants, converted all shares of convertible preferred stock in ESOP II, resulting in the Reporting Person's acquisition of 28.286 shares of common stock. Also reflects ESOP II acquisitions that have occurred since the Reporting Person's last ownership report covering ESOP II holdings.
- (2) On November 30, 2012, the common stock of Stepan Company split 2-for-1, resulting in the Reporting Person's ownership of 26,416.588 additional shares of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.