STEPAN F QUINN JR

Form 4 July 18, 2012

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue.

See Instruction

1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* STEPAN F QUINN JR

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

STEPAN CO [SCL] 3. Date of Earliest Transaction

(Check all applicable)

(Street)

(Month/Day/Year)

07/16/2012

\_X\_\_ Director 10% Owner X\_ Officer (give title \_ Other (specify

below)

President & CEO

22 W. FRONTAGE RD.

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NORTHFIELD, IL 60093

(City)	(State)	(Zip) Tak	ole I - Non-	-Derivative	Secu	rities Acq	quired, Disposed o	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/16/2012		M(1)	48,716	A	\$ 24.94	124,819.805	D	
Common Stock	07/16/2012		F <u>(1)</u>	33,057	D	\$ 95.21	91,762.805	D	
Common Stock							4,188.585	I	By ESOP II Trust
Common Stock							55,712	D (2)	
Common Stock							3,749	I	By Spouse

#### Edgar Filing: STEPAN F QUINN JR - Form 4

Common Stock	17,179	I	By Children
Common Stock	37,488	I	By Self as Custodian for Children
Common Stock	1,200	I	By Spouse as Custodian for Children
Common Stock	30,257	I	By Family LLC (3)
Common Stock	24,000	I	By Family Trust (3)
Common Stock	7,500	I	By Family Trust II
Common Stock	17,464	I	By Family Trust III
Common Stock	309,917	I	Member of the Plan Committee of Stepan Company

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5. Number of			6. Date Exercisable and		7. Title and Amount of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative			Expiration Date		<b>Underlying Securities</b>	
Security	or Exercise		any	Code	Securities		(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
	Derivative									
	Security									
							Date Exercisable	Expiration Date	Title	Amount or Number
				Code V	(A)	(D)				of Share
Employee Stock Option (Right to	\$ 24.94	07/16/2012		M(1)		48,716	12/22/2004	02/09/2014	Common Stock	48,716

SEC 1474

(9-02)

Buy)

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

STEPAN F QUINN JR

22 W. FRONTAGE RD. X President & CEO

NORTHFIELD, IL 60093

### **Signatures**

F. Quinn Stepan,

Jr.

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction completed pursuant to a 10b5-1 trading plan.
- (2) Joint Tenancy with Spouse.
- The Reporting Person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of such securities for the purpose of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3