**ECHELON CORP** Form 4/A October 07, 2005

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BRUGGINK FREDERIK** 

(First) (Last)

(Street)

(State)

(Middle)

550 MERIDIAN AVE

2. Issuer Name and Ticker or Trading

Symbol

ECHELON CORP [ELON]

3. Date of Earliest Transaction (Month/Day/Year)

08/15/2005

4. If Amendment, Date Original

Filed(Month/Day/Year)

10/06/2005

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below) Senior VP of Sales & Marketing

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SAN JOSE, CA 95126

(City)

1. Title of 2. Transaction Date 2A. Deemed

Security (Month/Day/Year) (Instr. 3)

(Zip)

Execution Date, if (Month/Day/Year)

3.

TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

Code V Amount (D) Price

(A)

4. Securities

Securities Beneficially Owned Following

Reported Transaction(s)

(Instr. 3 and 4)

5. Amount of

6. Ownership 7. Nature of Form: Direct (D) or Indirect Beneficial (T) (Instr. 4)

Indirect Ownership (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount Underlying Securities (Instr. 3 and 4)

### Edgar Filing: ECHELON CORP - Form 4/A

Derivative or Disposed of Security (D) (Instr. 3, 4, and 5) Code V (A) (D) Date Expiration Title Amour Exercisable Date or Numbe of Shar

Α

(Month/Day/Year) (Instr. 8) Acquired (A)

Reporting Owners

(1)

Price of

Reporting Owner Name / Address Relationships

08/15/2005

Director 10% Owner Officer Other

BRUGGINK FREDERIK 550 MERIDIAN AVE SAN JOSE, CA 95126

Senior VP of Sales & Marketing

11.667

Common

Stock

11,66

(2)

08/15/2009

**Signatures** 

(Instr. 3)

Performance

Shares

/s/ Oliver R. Stanfield, attorney in fact for Frederick H.
Bruggink

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each performance share represents a right to receive one share of the Issuer's Common Stock.
- Pursuant to the Issuer's 1997 Stock Plan (the "1997 Plan"), the Reporting Person was granted 11,667 performance shares. 1/4th of such shares will vest on August 15, 2006, and as to an additional 1/4th of the shares on each one year anniversary thereafter.
- (3) This Form 4 is amended to set forth the correct date of earliest transaction as 8/15/2005. The line item reporting the grant of performance shares is set forth merely to gain access to the SEC's electronic filing system.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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