**Eccher James** Form 4 October 02, 2017

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

1(b).

Common

Old Second

Bancorp,

Stock

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **Eccher James** Issuer Symbol OLD SECOND BANCORP INC (Check all applicable) [OSBC] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner X\_ Officer (give title \_ Other (specify (Month/Day/Year) below) below) 37 S. RIVER ST. 09/30/2017 **CEO** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting AURORA, IL 60506 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) any Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Old Second Bancorp, **Profit** 2  $1,973 \stackrel{(2)}{=}$ 09/30/2017 I Inc A Sharing Common Stock Old Second Bancorp, 401k Inc. 09/30/2017 96 (1) 10,040 (3) Savings

Plan

203,962.251

D

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Inc.

Common

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerci	sable and	7. Title and A	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	nNumber	Expiration Dat	te	Underlying S	Securities 1
Security	or Exercise		any	Code	of	(Month/Day/Y	(ear)	(Instr. 3 and	4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	•			
	Derivative				Securities				
	Security				Acquired				
					(A) or				
					Disposed				
					of (D)				
					(Instr. 3,				
					4, and 5)				
									Amount
						Date	Evaluation		Amount
						Exercisable	Expiration	Title	Or
				C-J- V	(A) (D)	Exercisable	Date		Number
				Code V	(A) (D)				of Shares
Emmlaria									

Employee

Stock \$ 27.75

Option

12/18/2008 12/18/2017

Common

8. I De Sec (In

20,000 Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
Eccher James 37 S. RIVER ST. AURORA, IL 60506	X		CEO			

## **Signatures**

/s/ James Eccher 09/30/2017 \*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Does not apply.

Reporting Owners 2

### Edgar Filing: Eccher James - Form 4

- Of this total, 2 shares were allocated during the third quarter 2017 pursuant to the Old Second Bancorp, Inc. Profit Sharing Plan & Trust. Information herein was provided by the Plan Trustee as of 09-30-17.
- (3) Of this total, 96 shares were allocated during the third quarter 2017 pursuant to the Old Second Bancorp, Inc. Employees Salary and Savings Plan. Information herein was provided by the Plan Trustee as of 09-30-17.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.